



CALL NO. 105

CONTRACT ID. 191251

ROWAN COUNTY

FED/STATE PROJECT NUMBER HSIP 0646 (066)

DESCRIPTION I-64 CABLE MEDIAN BARRIER (ROWAN COUNTY)

WORK TYPE GUARDRAIL

PRIMARY COMPLETION DATE 10/1/2020

LETTING DATE: November 22,2019

Sealed Bids will be received electronically through the Bid Express bidding service until 10:00 AM EASTERN STANDARD TIME November 22,2019. Bids will be publicly announced at 10:00 AM EASTERN STANDARD TIME.

NO PLANS ASSOCIATED WITH THIS PROJECT.

DBE CERTIFICATION REQUIRED - 0.00%

REQUIRED BID PROPOSAL GUARANTY: Not less than 5% of the total bid.

TABLE OF CONTENTS

| | |
|----------|---|
| PART I | SCOPE OF WORK |
| | <ul style="list-style-type: none">• PROJECT(S), COMPLETION DATE(S), & LIQUIDATED DAMAGES• CONTRACT NOTES• FEDERAL CONTRACT NOTES• SIGNIFICANT PROJECT -PROJECT TRAFFIC COORDINATOR• SPECIAL NOTE(S) APPLICABLE TO PROJECT• RIGHT OF WAY NOTES• UTILITY IMPACT & RAIL CERTIFICATION NOTES• GEOTECHNICAL NOTES |
| PART II | SPECIFICATIONS AND STANDARD DRAWINGS |
| | <ul style="list-style-type: none">• SPECIFICATIONS REFERENCE• SUPPLEMENTAL SPECIFICATION• [SN-1I] PORTABLE CHANGEABLE SIGNS |
| PART III | EMPLOYMENT, WAGE AND RECORD REQUIREMENTS |
| | <ul style="list-style-type: none">• FEDERAL-AID CONSTRUCTION CONTRACTS - FHWA 1273• NONDISCRIMINATION OF EMPLOYEES• EXECUTIVE BRANCH CODE OF ETHICS• PROJECT WAGE RATES LOCALITY 3 / FEDERAL• NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO ENSURE EEO ROWAN |
| PART IV | INSURANCE |
| PART V | BID ITEMS |

PART I
SCOPE OF WORK

ADMINISTRATIVE DISTRICT - 09

CONTRACT ID - 191251

HSIP 0646 (066)

COUNTY - ROWAN

PCN - DE10300641951

HSIP 0646 (066)

I-64 CABLE MEDIAN BARRIER (ROWAN COUNTY) (MP 129.06) CABLE MEDIAN BARRIER ON I-64 IN ROWAN COUNTY (MP 137.23), A DISTANCE OF 08.20 MILES.GUARDRAIL SYP NO. 09-09011.
GEOGRAPHIC COORDINATES LATITUDE 38:10:58.00 LONGITUDE 83:33:20.00

COMPLETION DATE(S):

COMPLETED BY 10/01/2020

APPLIES TO ENTIRE CONTRACT

CONTRACT NOTES

PROPOSAL ADDENDA

All addenda to this proposal must be applied when calculating bid and certified in the bid packet submitted to the Kentucky Department of Highways. Failure to use the correct and most recent addenda may result in the bid being rejected.

BID SUBMITTAL

Bidder must use the Department's electronic bidding software. The Bidder must download the bid file located on the Bid Express website (www.bidx.com) to prepare a bid packet for submission to the Department. The bidder must submit electronically using Bid Express.

JOINT VENTURE BIDDING

Joint venture bidding is permissible. All companies in the joint venture must be prequalified in one of the work types in the Qualifications for Bidders for the project. The bidders must get a vendor ID for the joint venture from the Division of Construction Procurement and register the joint venture as a bidder on the project. Also, the joint venture must obtain a digital ID from Bid Express to submit a bid. A joint bid bond of 5% may be submitted for both companies or each company may submit a separate bond of 5%.

UNDERGROUND FACILITY DAMAGE PROTECTION

The contractor shall make every effort to protect underground facilities from damage as prescribed in the Underground Facility Damage Protection Act of 1994, Kentucky Revised Statute KRS 367.4901 to 367.4917. It is the contractor's responsibility to determine and take steps necessary to be in compliance with federal and state damage prevention directives. When prescribed in said directives, the contractor shall submit Excavation Locate Requests to the Kentucky Contact Center (KY811) via web ticket entry. The submission of this request does not relieve the contractor from the responsibility of contacting non-member facility owners, whom shall be contacted through their individual Protection Notification Center. Non-compliance with these directives can result in the enforcement of penalties.

REGISTRATION WITH THE SECRETARY OF STATE BY A FOREIGN ENTITY

Pursuant to KRS 176.085(1)(b), an agency, department, office, or political subdivision of the Commonwealth of Kentucky shall not award a state contract to a person that is a foreign entity required by [KRS 14A.9-010](#) to obtain a certificate of authority to transact business in the Commonwealth ("certificate") from the Secretary of State under [KRS 14A.9-030](#) unless the person produces the certificate within fourteen (14) days of the bid or proposal opening. If the foreign entity is not required to obtain a certificate as provided in [KRS 14A.9-010](#), the foreign entity should identify the applicable exception. Foreign entity is defined within [KRS 14A.1-070](#).

For all foreign entities required to obtain a certificate of authority to transact business in the Commonwealth, if a copy of the certificate is not received by the contracting agency within the time frame identified above, the foreign entity's solicitation response shall be deemed non-responsive or the awarded contract shall be cancelled.

Businesses can register with the Secretary of State at <https://secure.kentucky.gov/sos/ftbr/welcome.aspx>.

SPECIAL NOTE FOR PROJECT QUESTIONS DURING ADVERTISEMENT

Questions about projects during the advertisement should be submitted in writing to the Division of Construction Procurement. This may be done by fax (502) 564-7299 or email to kytc.projectquestions@ky.gov. The Department will attempt to answer all submitted questions. The Department reserves the right not to answer if the question is not pertinent or does not aid in clarifying the project intent.

The deadline for posting answers will be 3:00 pm Eastern Daylight Time, the day preceding the Letting. Questions may be submitted until this deadline with the understanding that the later a question is submitted, the less likely an answer will be able to be provided.

The questions and answers will be posted for each Letting under the heading "Questions & Answers" on the Construction Procurement website (www.transportation.ky.gov/contract). The answers provided shall be considered part of this Special Note and, in case of a discrepancy, will govern over all other bidding documents.

HARDWOOD REMOVAL RESTRICTIONS

The US Department of Agriculture has imposed a quarantine in Kentucky and several surrounding states, to prevent the spread of an invasive insect, the emerald ash borer. Hardwood cut in conjunction with the project may not be removed from the state. Chipping or burning on site is the preferred method of disposal.

INSTRUCTIONS FOR EXCESS MATERIAL SITES AND BORROW SITES

Identification of excess material sites and borrow sites shall be the responsibility of the Contractor. The Contractor shall be responsible for compliance with all applicable state and federal laws and may wish to consult with the US Fish and Wildlife Service to seek protection under Section 10 of the Endangered Species Act for these activities.

ACCESS TO RECORDS

The contractor, as defined in KRS 45A.030 (9) agrees that the contracting agency, the Finance and Administration Cabinet, the Auditor of Public Accounts, and the Legislative Research Commission, or their duly authorized representatives, shall have access to any books, documents, papers, records, or other evidence, which are directly pertinent to this contract for the purpose of financial audit or program review. Records and other prequalification information confidentially

disclosed as part of the bid process shall not be deemed as directly pertinent to the contract and shall be exempt from disclosure as provided in KRS 61.878(1)(c). The contractor also recognizes that any books, documents, papers, records, or other evidence, received during a financial audit or program review shall be subject to the Kentucky Open Records Act, KRS 61.870 to 61.884.

In the event of a dispute between the contractor and the contracting agency, Attorney General, or the Auditor of Public Accounts over documents that are eligible for production and review, the Finance and Administration Cabinet shall review the dispute and issue a determination, in accordance with Secretary's Order 11-004.

April 30, 2018

FEDERAL CONTRACT NOTES

The Kentucky Department of Highways, in accordance with the Regulations of the United States Department of Transportation 23 CFR 635.112 (h), hereby notifies all bidders that failure by a bidder to comply with all applicable sections of the current Kentucky Standard Specifications, including, but not limited to the following, may result in a bid not being considered responsive and thus not eligible to be considered for award:

- | | |
|--------------------------------|--|
| 102.02 Current Rating | 102.08 Preparation and Delivery of Proposals |
| 102.13 Irregular Bid Proposals | 102.14 Disqualification of Bidders |
| 102.09 Proposal Guaranty | |

CIVIL RIGHTS ACT OF 1964

The Kentucky Department of Highways, in accordance with the provisions of Title VI of the Civil Rights Act of 1964 (78 Stat. 252) and the Regulations of the Federal Department of Transportation (49 C.F.R., Part 21), issued pursuant to such Act, hereby notifies all bidders that it will affirmatively insure that the contract entered into pursuant to this advertisement will be awarded to the lowest responsible bidder without discrimination on the ground of race, color, or national origin.

NOTICE TO ALL BIDDERS

To report bid rigging activities call: 1-800-424-9071.

The U.S. Department of Transportation (DOT) operates the above toll-free “hotline” Monday through Friday, 8:00 a.m. to 5:00 p.m. eastern time. Anyone with knowledge of possible bid rigging, bidder collusion, or other fraudulent activities should use the “hotline” to report such activities.

The “hotline” is part of the DOT’s continuing effort to identify and investigate highway construction contract fraud and abuse and is operated under the direction of the DOT Inspector General. All information will be treated confidentially and caller anonymity will be respected.

SECOND TIER SUBCONTRACTS

Second Tier subcontracts on federally assisted projects shall be permitted. However, in the case of DBE’s, second tier subcontracts will only be permitted where the other subcontractor is also a DBE. All second tier subcontracts shall have the consent of both the Contractor and the Engineer.

DISADVANTAGED BUSINESS ENTERPRISE PROGRAM

It is the policy of the Kentucky Transportation Cabinet (“the Cabinet”) that Disadvantaged Business Enterprises (“DBE”) shall have the opportunity to participate in the performance of highway construction projects financed in whole or in part by Federal Funds in order to create a level playing field for all businesses who wish to contract with the Cabinet. To that end, the Cabinet will comply with the regulations found in 49 CFR Part 26, and the definitions and requirements contained therein shall be adopted as if set out verbatim herein.

The Cabinet, contractors, subcontractors, and sub-recipients shall not discriminate on the basis of race, color, national origin, or sex in the performance of work performed pursuant to Cabinet contracts. The contractor shall carry out applicable requirements of 49 CFR 26 in the award and administration of federally assisted highway construction projects. The contractor will include this provision in all its subcontracts and supply agreements pertaining to contracts with the Cabinet.

Failure by the contractor to carry out these requirements is a material breach of its contract with the Cabinet, which may result in the termination of the contract or such other remedy as the Cabinet deems necessary.

DBE GOAL

The Disadvantaged Business Enterprise (DBE) goal established for this contract, as listed on the front page of the proposal, is the percentage of the total value of the contract.

The contractor shall exercise all necessary and reasonable steps to ensure that Disadvantaged Business Enterprises participate in a least the percent of the contract as set forth above as goals for this contract.

OBLIGATION OF CONTRACTORS

Each contractor prequalified to perform work on Cabinet projects shall designate and make known to the Cabinet a liaison officer who is assigned the responsibility of effectively administering and promoting an active program for utilization of DBEs.

If a formal goal has not been designated for the contract, all contractors are encouraged to consider DBEs for subcontract work as well as for the supply of material and services needed to perform this work.

Contractors are encouraged to use the services of banks owned and controlled by minorities and women.

CERTIFICATION OF CONTRACT GOAL

Contractors shall include the following certification in bids for projects for which a DBE goal has been established. BIDS SUBMITTED WHICH DO NOT INCLUDE CERTIFICATION OF DBE PARTICIPATION WILL NOT BE ACCEPTED. These bids will not be considered for award by the Cabinet and they will be returned to the bidder.

“The bidder certifies that it has secured participation by Disadvantaged Business Enterprises (“DBE”) in the amount of _____ percent of the total value of this contract and that the DBE participation is in compliance with the requirements of 49 CFR 26 and the policies of the Kentucky Transportation Cabinet pertaining to the DBE Program.”

The certification statement is located in the electronic bid file. All contractors must certify their DBE participation on that page. DBEs utilized in achieving the DBE goal must be certified and prequalified for the work items at the time the bid is submitted.

DBE PARTICIPATION PLAN

Lowest responsive bidders must submit the *DBE Plan/ Subcontractor Request*, form TC 14-35 DBE, within **5** days of the letting. This is necessary before the Awards Committee will review and make a recommendation. **The project will not be considered for award prior to submission and approval of the apparent low bidder’s DBE Plan/Subcontractor Request.**

The DBE Participation Plan shall include the following:

1. Name and address of DBE Subcontractor(s) and/or supplier(s) intended to be used in the proposed project;
2. Description of the work each is to perform including the work item, unit, quantity, unit price and total amount of the work to be performed by the individual DBE. The Proposal Line Number, Category Number, and the Project Line Number can be found in the “material listing” on the Construction Procurement website under the specific letting;
3. The dollar value of each proposed DBE subcontract and the percentage of total project contract value this represents. DBE participation may be counted as follows:
 - a. If DBE suppliers and manufactures assume actual and contractual responsibility, the dollar value of materials to be furnished will be counted toward the goal as follows:
 - The entire expenditure paid to a DBE manufacturer;
 - 60 percent of expenditures to DBE suppliers that are not manufacturers provided the supplier is a regular dealer in the product involved. A regular dealer must be engaged in, as its principal business and in its own name, the sale of products to the public, maintain an inventory and own and operate distribution equipment; and
 - The amount of fees or commissions charged by the DBE firms for a bona fide service, such as professional, technical, consultant, or managerial services and assistance in the procurement of essential personnel, facilities, equipment, materials, supplies, delivery of materials and supplies or for furnishing bonds, or insurance, providing such fees or commissions are determined to be reasonable and customary.

- b) The dollar value of services provided by DBEs such as quality control testing, equipment repair and maintenance, engineering, staking, etc.;
 - c) The dollar value of joint ventures. DBE credit for joint ventures will be limited to the dollar amount of the work actually performed by the DBE in the joint venture;
4. Written and signed documentation of the bidder's commitment to use a DBE contractor whose participation is being utilized to meet the DBE goal; and
 5. Written and signed confirmation from the DBE that it is participating in the contract as provided in the prime contractor's commitment.

UPON AWARD AND BEFORE A WORK ORDER WILL BE ISSUED

Contractors must submit the signed subcontract between the contractor and the DBE contractor, along with the DBE's certificate of insurance. If the DBE is a supplier of materials for the project, a signed purchase order must be submitted to the Division of Construction Procurement.

Changes to DBE Participation Plans must be approved by the Cabinet. The Cabinet may consider extenuating circumstances including, but not limited to, changes in the nature or scope of the project, the inability or unwillingness of a DBE to perform the work in accordance with the bid, and/or other circumstances beyond the control of the prime contractor.

CONSIDERATION OF GOOD FAITH EFFORTS REQUESTS

If the DBE participation submitted in the bid by the apparent lowest responsive bidder does not meet or exceed the DBE contract goal, the apparent lowest responsive bidder must submit a Good Faith Effort Package to satisfy the Cabinet that sufficient good faith efforts were made to meet the contract goals prior to submission of the bid. Efforts to increase the goal after bid submission will not be considered in justifying the good faith effort, unless the contractor can show that the proposed DBE was solicited prior to the letting date. DBEs utilized in achieving the DBE goal must be certified and prequalified for the work items at the time the bid is submitted. One complete set (hard copy along with an electronic copy) of this information must be received in the Division of Contract Procurement no later than 12:00 noon of the tenth calendar day after receipt of notification that they are the apparent low bidder.

Where the information submitted includes repetitious solicitation letters it will be acceptable to submit a sample representative letter along with a distribution list of the firms solicited. Documentation of DBE quotations shall be a part of the good faith effort submittal as necessary to demonstrate compliance with the factors listed below which the Cabinet considers in judging good faith efforts. This documentation may include written subcontractors' quotations, telephone log notations of verbal quotations, or other types of quotation documentation.

The Good Faith Effort Package shall include, but may not be limited to information showing evidence of the following:

1. Whether the bidder attended any pre-bid meetings that were scheduled by the Cabinet to inform DBEs of subcontracting opportunities;
2. Whether the bidder provided solicitations through all reasonable and available means;
3. Whether the bidder provided written notice to all DBEs listed in the DBE directory at the time of the letting who are prequalified in the areas of work that the bidder will be subcontracting;
4. Whether the bidder followed up initial solicitations of interest by contacting DBEs to determine with certainty whether they were interested. If a reasonable amount of DBEs within the targeted districts do not provide an intent to quote or no DBEs are prequalified in the subcontracted areas, the bidder must notify the Disadvantaged Enterprise Business Liaison Officer (DEBLO) in the Office of Civil Rights and Small Business Development to give notification of the bidder's inability to get DBE quotes;
5. Whether the bidder selected portions of the work to be performed by DBEs in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate DBE participation, even when the prime contractor might otherwise perform these work items with its own forces;
6. Whether the bidder provided interested DBEs with adequate and timely information about the plans, specifications, and requirements of the contract;
7. Whether the bidder negotiated in good faith with interested DBEs not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached;
8. Whether quotations were received from interested DBE firms but were rejected as unacceptable without sound reasons why the quotations were considered unacceptable. The fact that the DBE firm's quotation for the work is not the lowest quotation received will not in itself be considered as a sound reason for rejecting the quotation as unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a DBE quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy DBE goals;
9. Whether the bidder specifically negotiated with subcontractors to assume part of the responsibility to meet the contract DBE goal when the work to be subcontracted includes potential DBE participation;
10. Whether the bidder made any efforts and/or offered assistance to interested DBEs in obtaining the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal; and
11. Any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include DBE participation.

FAILURE TO MEET GOOD FAITH REQUIREMENT

Where the apparent lowest responsive bidder fails to submit sufficient participation by DBE firms to meet the contract goal and upon a determination by the Good Faith Committee based upon the information submitted that the apparent lowest responsive bidder failed to make sufficient reasonable efforts to meet the contract goal, the bidder will be offered the opportunity to meet in person for administrative reconsideration. The bidder will be notified of the Committee's decision within 24 hours of its decision. The bidder will have 24 hours to request reconsideration of the Committee's decision. The reconsideration meeting will be held within two days of the receipt of a request by the bidder for reconsideration.

The request for reconsideration will be heard by the Office of the Secretary. The bidder will have the opportunity to present written documentation or argument concerning the issue of whether it met the goal or made an adequate good faith effort. The bidder will receive a written decision on the reconsideration explaining the basis for the finding that the bidder did or did not meet the goal or made adequate Good Faith efforts to do so.

The result of the reconsideration process is not administratively appealable to the Cabinet or to the United States Department of Transportation.

The Cabinet reserves the right to award the contract to the next lowest responsive bidder or to rebid the contract in the event that the contract is not awarded to the low bidder as the result of a failure to meet the good faith requirement.

SANCTIONS FOR FAILURE TO MEET DBE REQUIREMENTS OF THE PROJECT

Failure by the prime contractor to fulfill the DBE requirements of a project under contract or to demonstrate good faith efforts to meet the goal constitutes a breach of contract. When this occurs, the Cabinet will hold the prime contractor accountable, as would be the case with all other contract provisions. Therefore, the contractor's failure to carry out the DBE contract requirements shall constitute a breach of contract and as such the Cabinet reserves the right to exercise all administrative remedies at its disposal including, but not limited to the following:

- Disallow credit toward the DBE goal;
- Withholding progress payments;
- Withholding payment to the prime in an amount equal to the unmet portion of the contract goal; and/or
- Termination of the contract.

PROMPT PAYMENT

The prime contractor will be required to pay the DBE within seven (7) working days after he or she has received payment from the Kentucky Transportation Cabinet for work performed or materials furnished.

CONTRACTOR REPORTING

All contractors must keep detailed records and provide reports to the Cabinet on their progress in meeting the DBE requirement on any highway contract. These records may include, but shall not be limited to payroll, lease agreements, cancelled payroll checks, executed subcontracting agreements, etc. Prime contractors will be required to complete and submit a **signed and notarized** Affidavit of Subcontractor Payment (TC 18-7) and copies of checks for any monies paid to each DBE subcontractor or supplier utilized to meet a DBE goal. These documents must be completed and signed within 7 days of being paid by the Cabinet.

Payment information that needs to be reported includes date the payment is sent to the DBE, check number, Contract ID, amount of payment and the check date. Before Final Payment is made on this contract, the Prime Contractor will certify that all payments were made to the DBE subcontractor and/or DBE suppliers.

******* IMPORTANT *******

Please mail the original, signed and completed TC (18-7) Affidavit of Subcontractor Payment form and all copies of checks for payments listed above to the following address:

Office of Civil Rights and Small Business Development
6th Floor West 200 Mero Street
Frankfort, KY 40622

The prime contractor should notify the KYTC Office of Civil Rights and Small Business Development seven (7) days prior to DBE contractors commencing work on the project. The contact in this office is Mr. Melvin Bynes. Mr. Bynes' current contact information is email address – melvin.bynes2@ky.gov and the telephone number is (502) 564-3601.

DEFAULT OR DECERTIFICATION OF THE DBE

If the DBE subcontractor or supplier is decertified or defaults in the performance of its work, and the overall goal cannot be credited for the uncompleted work, the prime contractor may utilize a substitute DBE or elect to fulfill the DBE goal with another DBE on a different work item. If after exerting good faith effort in accordance with the Cabinet's Good Faith Effort policies and procedures, the prime contractor is unable to replace the DBE, then the unmet portion of the goal may be waived at the discretion of the Cabinet.

7/19/2019

LEGAL REQUIREMENTS AND RESPONSIBILITY TO THE PUBLIC – CARGO PREFERENCE ACT (CPA).

(REV 12-17-15) (1-16)

SECTION 7 is expanded by the following new Article:

102.10 **Cargo Preference Act – Use of United States-flag vessels.**

Pursuant to Title 46CFR Part 381, the Contractor agrees

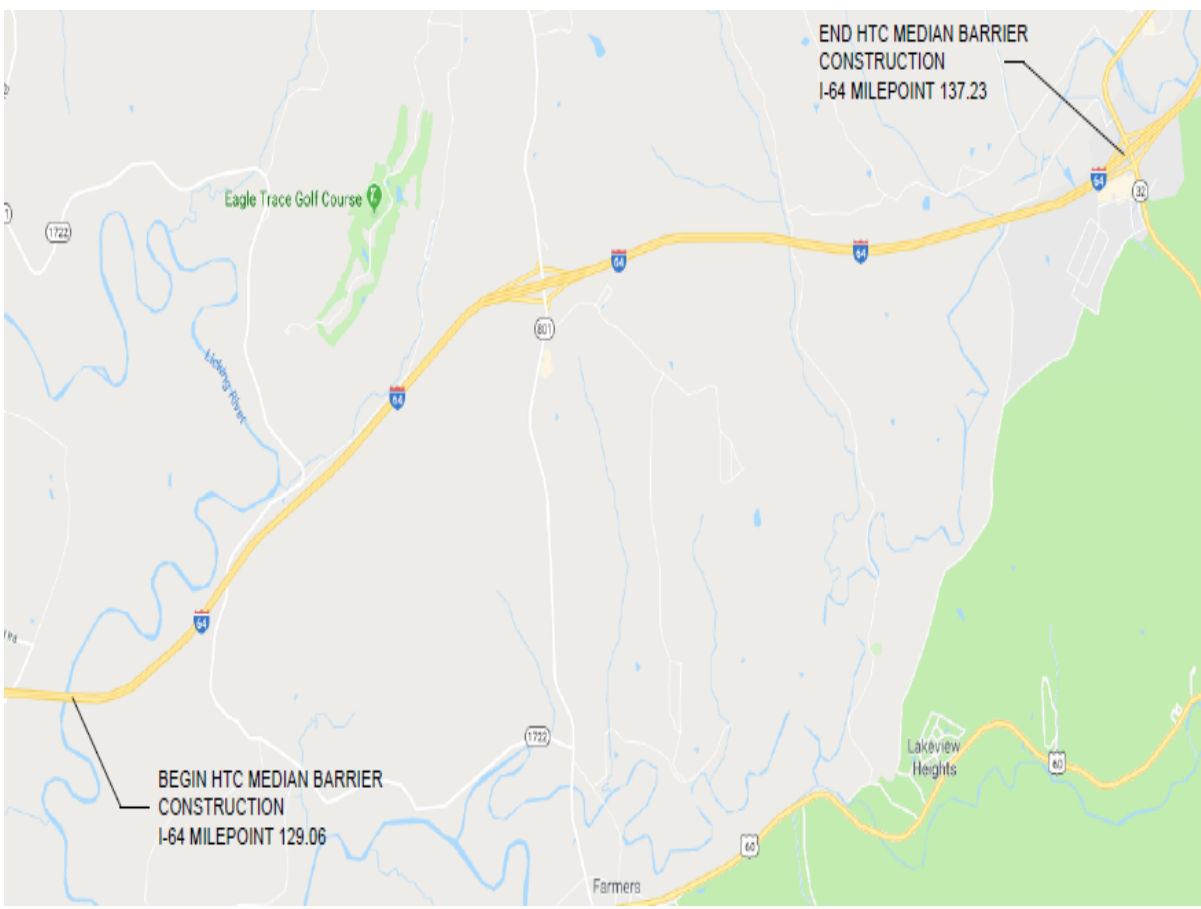
- To utilize privately owned United States-flag commercial vessels to ship at least 50 percent of the gross tonnage (computed separately for dry bulk carriers, dry cargo liners, and tankers) involved, whenever shipping any equipment, material, or commodities pursuant to this contract, to the extent such vessels are available at fair and reasonable rates for United States-flag commercial vessels.

- To furnish within 20 days following the date of loading for shipments originating within the United States or within 30 working days following the date of loading for shipments originating outside the United States, a legible copy of a rated, 'on-board' commercial ocean bill-of-lading in English for each shipment of cargo described in paragraph 1 of this section to both the Contracting Officer (through the prime contractor in the case of subcontractor bills-of-lading) and to the Division of National Cargo, Office of Market Development, Maritime Administration, Washington, DC 20590.

- To insert the substance of the provisions of this clause in all subcontracts issued pursuant to this contract.

PROJECT TRAFFIC COORDINATOR (PTC)

Be advised this project is a significant project pursuant to section 112.03.12.



| | |
|---|--|
| PLAN APPROVED BY: _____ DATE: _____ FHWA | |
| RECOMMENDED BY: _____ DATE: _____ PROJECT MANAGER | |
| PLAN APPROVED BY: _____ DATE: _____ STATE HIGHWAY ENGINEER | |

| TABLE OF CONTENTS | |
|--------------------------|--|
| | TITLE |
| 1. | LAYOUT SHEET |
| 2. | PROJECT DESCRIPTION |
| 3. | UTILITY LOCATION SHEET |
| 4. | GENERAL SUMMARY |
| 5. | SPECIAL NOTES FOR HTC MEDIAN BARRIER INSTALLATION |
| 6. | SPECIAL NOTES FOR INSTALLATION AND MAINTENANCE TRAINING |
| 7. | SPECIAL NOTE FOR HIGH TENSION CABLE- ROPE MEDIAN BARRIER |
| 8. | TRAFFIC CONTROL PLAN |
| 9. | HTC END LOCATIONS |
| 10. | GEOTECHNICAL REPORT SHEETS |

| | |
|------------------------------------|---------------------------|
| PROPOSED HTC MEDIAN BARRIER | |
| ROUTE: I-64 | ROWAN COUNTY |
| ITEM NO: 09-9011.00 | |
| MILEPOINT: 129.06 TO 137.23 | LENGTH: 8.17 MILES |

PROJECT DESCRIPTION

Rowan County
 HTC Median Barrier on I-64 from I-64 (MP 129.06 to I-64 (MP 137.23)

Item No. 09-9011.00

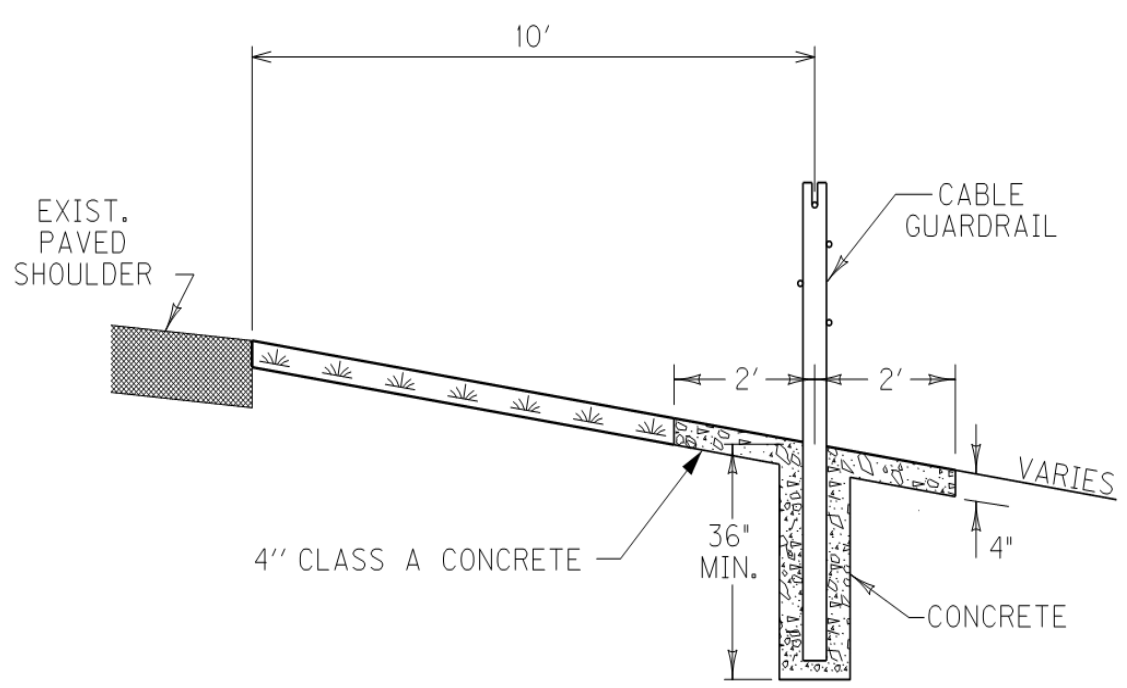
The purpose of this project is to install HTC Median Barrier along I-64 in Rowan, County beginning at MP 129.06 (East of US 60 Interchange) to MP 137.23 (At Rt. 32 Interchange).

The Manufacturer will assist the Contractor with the layout and location of the HTC Median Barrier installation. The Contractor will create schematic layout sheets for the HTC Median Barrier system and, prior to construction, the proposed layout and location of the HTC Median Barrier will be approved by the Department. The installed barrier shall be 10' from the edge of the paved shoulder, measured from the center of the concrete mow strip (**See Detail A**). Installations shall be on the Eastbound and Westbound side of the median.

Cut a 4-foot wide and 4-inch deep trench where the HTC system is to run and place Class A Concrete in the trench (**See Detail A**). When designing the system's anchoring, the Manufacturer should consider whether the depth and/or diameter of the line post foundations may need to be increased when placed in an existing paved shoulder versus a concrete pad mow strip.

Geotechnical information has been collected from adjacent projects near this corridor. This information may be found in the appendix of this proposal. The Manufacturer is responsible for the design of the line post and terminal foundations and shall use the geotechnical information to develop these project-specific foundation designs. The Contractor shall be responsible for obtaining any additional geotechnical information required by the Manufacturer to complete the design of their system's anchoring.

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| PROPOSED HTC MEDIAN BARRIER | | ITEM NO: 09-9011.00 |
| ROWAN COUNTY | ROUTE: I-64 | MILEPOINT: 129.06 TO 137.23 |



Detail A

| | | |
|------------------------------------|--------------------|------------------------------------|
| PROPOSED HTC MEDIAN BARRIER | | ITEM NO: 09-9011.00 |
| ROWAN COUNTY | ROUTE: I-64 | MILEPOINT: 129.06 TO 137.23 |

ROWAN COUNTY I-64 UTILITY LOCATIONS

| APPROX. MILEPOINT | UTILITY DESCRIPTION |
|------------------------|---|
| EB 134.07 WB 133.99 | TRAFFIC LOOPS ON BOTH SIDES OF MEDIAN "Do not Disturb" |
| | |
| | |
| | |
| | |

The Cabinet has traffic count stations located across I-64 in Rowan County in various locations. The contractor shall use caution in these areas as not to disturb or damage the count stations in any manner and that includes any and all associated hardware necessary for them to function. If damage should occur to these count stations during the placement of the HTC median cable barrier, the contractor shall be responsible for replacing the damaged count station in full, as directed by the Engineer, without compensation from the Cabinet, and within the time frame of the project. An inspection by the Cabinet of these stations will take place at the end of work as assurance that they have not been disturbed.

| | | |
|------------------------------------|--------------------|------------------------------------|
| PROPOSED HTC MEDIAN BARRIER | | ITEM NO: 09-9011.00 |
| ROWAN COUNTY | ROUTE: I-64 | MILEPOINT: 129.06 TO 137.23 |

| HTC END LOCATIONS ROWAN COUNTY | |
|---|---------------|
| MILEPOINTS | LENGTH |
| WB 129.04 | 1,320.0' |
| WB 129.29 | |
| WB 129.31 | 5,227.2' |
| WB 130.30 | |
| | |
| | |
| EB 130.31 | 7,022.4' |
| EB 131.64 | |
| EB 131.61 | 7,022.4' |
| EB 132.94 | |
| EB 132.96 | 9,398.4' |
| EB 134.74 | |
| | |
| | |
| WB 134.91 | 7,339.2' |
| WB 136.30 | |
| WB 136.44 | 4,171.2' |
| WB 137.23 | |
| | |
| TOTAL: | 41,500.8' |
| | |

NOTE:

These locations have been assumed for the purpose of quantifying the project. Exact locations are to be determined by the Vendor and the Contractor and are to be documented in the HTC Median Barrier System Layout Plans.

| | | |
|------------------------------------|--------------------|------------------------------------|
| PROPOSED HTC MEDIAN BARRIER | | ITEM NO: 09-9011.00 |
| ROWAN COUNTY | ROUTE: I-64 | MILEPOINT: 129.06 TO 137.23 |

| GENERAL SUMMARY | | | |
|------------------------|---|-------------|-------------------------|
| ITEM | DESCRIPTION | UNIT | ROWAN CO. TOTALS |
| 23147EN | HIGH TENSION CABLE-ROPE (1) (4) (6) (7) | LF | 41500.8 |
| 23148EN | END ANCHOR (2) (4) (6) (7) | EACH | 14 |
| 22415EN | CONCRETE CLASS A FOR PAD (5) | SQ. YD. | 18443 |
| 06427 | TRENCHING (3) | LF | 41500.8 |
| 02569 | DEMOBILIZATION | LS | 1 |
| 02562 | SIGNS | SF | 500 |
| 02650 | MAINTAIN & CONTROL TRAFFIC | LS | 1 |
| 02671 | PORTABLE CHANGEABLE MESSAGE SIGN | EACH | 2 |
| 02726 | STAKING | LS | 1 |
| 02775 | ARROW PANEL | EACH | 2 |
| 24560EN | EROSION CONTROL BLANKET – SHORT TERM | SQ YD | 36,889 |
| 23143ED | KPDES PRMIT AND TEMP EROSION CONTROL | LS | 1 |
| 20411ED | LAW ENFORCEMENT OFFICER | HRS | 290 |

NOTES:

- (1) The HTC Median Barrier system includes all hardware, post, cables, labor, and incidentals within the End Anchors.
- (2) The HTC Median Barrier End Anchors includes all hardware, post, cables, labor, and incidentals.
- (3) The bid item “Trenching” is for the trenching and disposal of the material removed for the Concrete Class A Pad under the HTC Median Barrier system. Provided this material meets geotechnical requirements it may be used where median fill is needed. Waste area will be pre-approved by the Engineer.
- (4) Excavation for the posts and anchors is incidental to the HTC Median Barrier. This material may also be used where median fill is needed provided that requirements listed in note (3) above are followed.
- (5) Construct per the Section 505 of the *Standard Specifications for Road and Bridge Construction (current edition)* for concrete sidewalks.
- (6) The Contractor shall select and install only Brifen manufacturer’s high tension cable barrier system for the entire project. Terminal sections and high tension cable barrier shall be produced by the same manufacturer.
- (7) Geotechnical work from adjacent projects will be provided. All Geotechnical Information has been included in this proposal so that the manufacturers may design the anchors and the post line footings.

| PROPOSED HTC MEDIAN BARRIER | |
|------------------------------------|---------------------------|
| ROUTE: I-64 | ROWAN COUNTY |
| ITEM NO: 09-9011.00 | |
| MILEPOINT: 129.06 TO 137.23 | LENGTH: 8.17 MILES |

SPECIAL NOTES FOR HTC MEDIAN BARRIER INSTALLATION AND LAYOUT

PAGE 1 OF 2

The HTC Median Barrier will meet or exceed the specifications documented in the **SPECIAL NOTE FOR HIGH TENSION CABLE-ROPE MEDIAN BARRIER**. The Contractor shall use Brifen manufacturer of high tension cable-rope so long as their system meets or exceeds specifications documented in the **SPECIAL NOTE FOR HIGH TENSION CABLE-ROPE MEDIAN BARRIER**. The Contractor shall select and install only Brifen manufacturer's high tension cable barrier system for the entire project. Terminal sections and high tension cable barrier shall be produced by the same manufacturer. A listing of high tension cable-rope manufacturers and their products may be found on the Federal Highway Administration's Safety website for Roadside Hardware Guidance:

[\(http://safety.fhwa.dot.gov/roadway_dept/policy_guide/road_hardware/\)](http://safety.fhwa.dot.gov/roadway_dept/policy_guide/road_hardware/).

The Contractor shall provide the following documentation to the Engineer a minimum of 14 days prior to installation of the system:

- a) A copy of the appropriate FHWA Acceptance Letters (from NCHRP Report 350 testing) for the HTC system, including one for TL-4 on 6H:1V slopes, TL-3 on 4H:1V, and TL-3 for the terminals/end anchorages.
- b) Two copies of the manufacturer's product brochure, specifications, and installation and maintenance manuals.
- c) Certification signed and stamped by a Professional Engineer licensed in the Commonwealth of Kentucky stating that the final design of the system meets the requirements of the contract documents.
- d) Five copies of the proposed system layout plans clearly depicting installation details, including existing planimetric features (guardrail, safety terminals, edges of pavement/shoulder, ditch line, structures, etc.) and proposed HTC system features (safety terminals, intermediate line posts, and cable-rope location).
- e) One copy of the design drawings and calculations for the safety terminal and intermediate line post foundations for the soil conditions on the project. Design drawings and calculations shall be stamped by a Professional Engineer licensed in the Commonwealth of Kentucky.

Review and acceptance of the proposed design (as shown in the documentation listed above) must occur before the Contractor proceeds with installation. The review will be completed in 14 days.

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| PROPOSED HTC MEDIAN BARRIER | | ITEM NO: 09-9011.00 |
| ROWAN COUNTY | ROUTE: I-64 | MILEPOINT: 129.06 TO 137.23 |

SPECIAL NOTES FOR HTC MEDIAN BARRIER INSTALLATION AND LAYOUT

PAGE 2 OF 2

When developing the proposed system layout, the Contractor and Manufacturer will adhere to the following guidance:

- a) Maintain a minimum of 10' between the HTC system and the edge of traveled way. Allowances will be made to the offset when the barrier passes by a permanent structure such as a bridge pier or sign truss pedestal. The Engineer will approve any variances to the 10' offset.
- b) The HTC system must remain a minimum of 10' up from the median ditch line.
- c) Legal median u-turn crossovers should remain open.
- d) Where possible, shield anchors behind existing roadside safety hardware (i.e. guardrail end treatments, bridge-ends, etc.)

Contrary to Section 111 of the *KYTC Standard Specifications for Road and Bridge Construction (current edition)* no Value Engineering or proposal to modify the specifications of the high tension cable median barrier will be accepted on this project.

The concrete pad mow strip will be constructed per the Section 505 of the *KYTC Standard Specifications for Road and Bridge Construction (current edition)* for concrete sidewalks.

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| PROPOSED HTC MEDIAN BARRIER | | ITEM NO: 09-9011.00 |
| ROWAN COUNTY | ROUTE: I-64 | MILEPOINT: 129.06 TO 137.23 |

SPECIAL NOTE FOR INSTALLATION AND MAINTENANCE TRAINING

1. Provide installation training by the manufacturer of the system during construction.
 - A. During the installation of the proposed cable barrier system, provide on-site field instruction on installation procedures covering all aspects of the system installation, including grading, line post installation, wire rope or cable installation and tensioning, and terminal or anchor installation. The scheduling and location of this training shall be approved by the Engineer.
 - B. Provide the training for a maximum of 10 participants, to include the following as may apply:
 - Contractor (prime)
 - Installation Contractor (sub)
 - KYTC personnel (Construction, Maintenance, Traffic Safety and Highway Design)
2. The installation contractor must have personnel on site at all times during the installation of the system that have been trained by the manufacturer.
3. Provide maintenance training by the manufacturer of the system prior to the closing out of the project.
 - A. Provide a minimum of two (2) hours of classroom instruction on the maintenance and repair of the system. This training shall be provided in a location central to the project and the local KYTC district office. The scheduling and location of this training shall be approved by the Engineer.
 - B. Provide a minimum of two (2) hours of on-site field instruction on the maintenance and repair of the system.
 - C. Provide the training as required for a maximum of 30 participants, to include the following:
 - KYTC personnel (Construction, Maintenance, Traffic Safety and Highway Design)
 - FHWA representative when system installed on federal aid projects
 - Those invited by the KYTC, which may include law enforcement agencies and emergency response representatives
4. The required training will be **incidental to the contract**.

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| PROPOSED HTC MEDIAN BARRIER | | ITEM NO: 09-9011.00 |
| ROWAN COUNTY | ROUTE: I-64 | MILEPOINT: 129.06 TO 137.23 |

SPECIAL NOTE FOR HIGH TENSION CABLE-ROPE MEDIAN BARRIER

Sheet 1 of 4

DESCRIPTION This work shall consist of furnishing and installing a high tension cable-rope HTC median barrier with terminals/end anchorages as recommended by the Manufacturer, as directed by the Engineer, and in accordance with the requirements of this special note.

GENERAL REQUIREMENTS The HTC median barrier system shall be a four cable-rope system that meets the National Cooperative Highway Research Program (NCHRP) Report 350, Test Level 4 testing for 6H:1V slopes and be accepted by FHWA as such. HTC installed on front slope grades steeper than 6H:1V but 4H:1V or flatter shall be Test Level 3 tested and accepted as such. Each of the four cable-ropes shall be independently anchored to a concrete end-anchor. The terminals/end anchorages shall be tested and accepted under NCHRP Report 350 Test Level 3. Geotechnical information of the project area shall be used by the Manufacturer to design the sizes and depths of the anchors and footings. Intermediate line posts shall be socketed with sleeves set in concrete. The maximum post spacing for the HTC System shall be 10.5 feet, center to center.

MATERIALS Samples for testing shall be provided as directed by the Physical Section of the Division of Materials. Contractors shall contact the Physical Section of the Division of Materials at 502-564-3160 for department specific sampling and testing procedures prior to bid. Section references are from the *Kentucky Standard Specifications for Road and Bridge Construction (current edition)*.

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| Concrete, Class A | Section 601 |
| Steel Reinforcement (Minimum Grade 40 steel) | Section 811 |
| Anchor Bolts and Nuts | Section 813 |
| Galvanizing (Bolts, Nuts & Washers) | AASHTO M 232 |
| Fittings (Steel) Hardware | AASHTO M 30 |
| Reflective Sheeting | Section 830 |

Cable-rope The cable-rope shall be a galvanized ¾ inch diameter, 3x7 wire rope construction meeting AASHTO M30 Type I Class A coating. The wire rope shall be pre-stretched during manufacturing to exhibit a minimum modulus of elasticity of 11,805,090 pounds/inch² after pre-stretching. If cable rope or fittings of higher strength were used at the time of NCHRP 350 evaluation, use the higher strength materials.

Posts Posts shall be the socketed versions with caps, placed in metal or plastic sleeves installed in a concrete foundation. All posts shall be fabricated from materials meeting ASTM A-36 or greater steel and galvanized after fabrication to A-123. The required welding shall be performed by a certified welder in accordance with AWS D1.1. Posts shall be domestic hot-rolled mild steel, or cold-formed from hot-rolled mild steel. A fitting gasket, profiled to fit tightly around each post, shall be provided to prevent debris from entering the socket.

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| PROPOSED HTC MEDIAN BARRIER | ITEM NO: 09-9011.00 | |
| ROWAN COUNTY | ROUTE: I-64 | MILEPOINT: 129.06 TO 137.23 |

SPECIAL NOTE FOR HIGH TENSION CABLE-ROPE MEDIAN BARRIER

Sheet 2 of 4

Fittings Only swaged fittings shall be provided. Field-installed, galvanized-steel fittings (i.e., turnbuckles and splices) shall be one-inch diameter. Smaller fittings may be allowed with written permission from the Division of Design, Division of Construction, and the Division of Materials. Factory applied or stainless steel fittings shall meet AASHTO M30 Type I Class A. Threaded terminals shall be right hand or left hand threaded M24 X 3 pitch to ANSI B 1.13M. The body of the threaded terminal shall provide a minimum of 6 inches wire rope engagement depth. Threaded terminals shall be either stainless steel or galvanized, after processing, to ASTM A-153.

Turnbuckles Turnbuckles (i.e. Rigging Screws) shall be threaded to accept the fitting described above. Turnbuckles may be either the open or closed body type (with two inspection holes to determine threaded rope terminal penetration). The turnbuckles shall allow for a minimum of 6 inches of penetration from each end. Turnbuckles shall meet AASHTO M30 Type I Class A and shall be either stainless steel or galvanized, after processing, to ASTM A-153.

Mechanical Anchor Fittings Fittings shall be provided at the anchor termination of each cable-rope and shall be of the same type as used in the connection to the turnbuckles. The fittings shall meet AASHTO M30 Type I Class A yielding, shall be capable of release and reuse, and shall be either stainless steel or galvanized, after processing, to ASTM A-153.

End Terminals End Terminals placed within the clear zone, as defined by AASHTO Roadside Design Guide, shall be NCHRP Report 350 compliant, meeting Test Level 3 (TL-3) requirements, and having an FHWA letter of acceptance. Other terminals may be used in locations where impacts are unlikely or if properly shielded by impact attenuator, if approved by the Engineer. Each of the four cable-ropes of the system shall have separate anchor connections to the terminal end section. End anchors shall be fabricated from materials meeting ASTM A-36 and galvanized after fabrication to A-123. All welding shall be performed by a certified welder in accordance with AWS D1.1.

CONSTRUCTION The Contractor shall install high tension cable-rope barrier system according to the manufacturer’s design and recommendation. Prior to construction, the proposed layout and location of the HTC System will be approved by the Department. The posts shall be installed plumb and in accordance with the proposed layout, spacing, and location shown in the HTC System layout plans as approved by the Department.

Turnbuckles shall be included to allow for tensioning of the cable-ropes. For installations greater than 1,000 feet in length, at least one Turnbuckle per 1,000 feet shall be included per length of cable-rope. For installations less than 1,000 feet in length, one Turnbuckle per length of cable-rope shall be included near the center of the installation.

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|------------------------------------|--------------------|------------------------------------|
| PROPOSED HTC MEDIAN BARRIER | | ITEM NO: 09-9011.00 |
| ROWAN COUNTY | ROUTE: I-64 | MILEPOINT: 129.06 TO 137.23 |

SPECIAL NOTE FOR HIGH TENSION CABLE-ROPE MEDIAN BARRIER

Sheet 3 of 4

Extreme care shall be taken in ensuring proper cable-rope height. The area shall be relatively smooth, without edge drop-offs, holes, other depressions or abrupt slope changes between the edge of the traveled way and the cable-rope barrier system.

The HTC System shall be placed and tensioned immediately after initial installation per the manufacturer's recommendations. Tension shall be rechecked approximately two (2) to three (3) weeks after initial tensioning and adjusted, if necessary. A tension log form shall be completed showing the time, date, location, ambient temperature, and final tension reading, signed by the person performing the tension reading. This log shall be furnished to the Engineer upon completion of work. This form shall also include the manufacturer's recommended tension chart.

Line post shall be socketed with sleeves set in concrete. The minimum diameter for the line post foundations shall be 12 inches. Minimum installation depth for the concrete line posts footings shall be 36-inches for non-rock installation. Greater depths may be required for non-rock installation due to manufacturer's recommendations based on soil information as shown in this proposal. Depths and requirements for installations in rock shall be based on manufacturer's recommendations.

The HTC System shall be delineated with retro-reflective sheeting. The delineation shall be applied to the last five posts at each end of an installation and throughout the remainder of the installation at a maximum spacing of 50 feet. The delineation shall provide a minimum of seven square inches of area when viewed on a line parallel to the roadway centerline. For median installations, the sheeting shall be applied to both sides of the post. The delineation shall be attached near the top of the posts as recommended by the manufacturer. The sheeting shall be yellow or white and shall be the same color as the adjacent edge line.

Contractor shall not allow traffic to be exposed to trenching and/or excavated post anchor holes for longer than one working shift, as directed by the Engineer.

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| PROPOSED HTC MEDIAN BARRIER | | ITEM NO: 09-9011.00 |
| ROWAN COUNTY | ROUTE: I-64 | MILEPOINT: 129.06 TO 137.23 |

SPECIAL NOTE FOR HIGH TENSION CABLE-ROPE MEDIAN BARRIER

Sheet 4 of 4

MEASUREMENT

High Tension Cable-Rope Barrier will be measured by the linear foot. Any costs associated with the cable-rope, intermediate line posts, line post foundations, cable-rope tensioning, reflective sheeting, and all necessary incidentals shall be included in the price bid for this item.

End Anchors will be measured by each unit. The Contractor's proposed layout and location plans will specify the type and number of end terminals required. Any costs associated with the excavation, reinforcing steel, concrete, and other incidentals shall be included in the price bid for this item. End anchor pay limits vary by manufacturer. See manufacturers shop drawings for details.

PAYMENT

| <u>Code</u> | <u>Pay Item</u> | <u>Pay Unit</u> |
|-------------|---------------------------------|-----------------|
| 23147EN | HIGH TENSION CABLE-ROPE BARRIER | LINEAR FOOT |
| 23148EN | END ANCHORS | EACH |

Such payment shall be full compensation for furnishing all materials, equipment, labor, and incidentals to complete the work as specified.

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| PROPOSED HTC MEDIAN BARRIER | | ITEM NO: 09-9011.00 |
| ROWAN COUNTY | ROUTE: I-64 | MILEPOINT: 129.06 TO 137.23 |

Special Note for Erosion Control Blanket-Short Term
Rowan County / Item No 09-9011.00

1.0 DESCRIPTION. Install erosion control blanket-short term at locations specified in the Contract or as the Engineer directs. Section references herein are to the Department's *KYTC Standard Specifications for Road and Bridge Construction (current edition)*.

2.0 MATERIALS.

2.1 Erosion Control Blanket-Short Term (ECB-ST). Use an ECB-ST that is machine constructed with two-sided netting filled with curled wood fiber mat, straw, or a straw and coconut fiber combination. Ensure the blanket is smolder resistant without the use of chemical additives.

A) Dimensions. Furnish in strips with a minimum width of 4 feet and length of 50 feet.

B) Weight.

1) Curled Wood Fiber. Ensure a minimum mass per unit area of 7.25 ounces per square yard according to ASTM D 6475.

2) Straw. Ensure a minimum mass per unit area of 7.5 ounces per square yard according to ASTM D 6475.

3) Straw/Coconut Fiber. Ensure a minimum mass per unit area of 6.75 ounces per square yard according to ASTM D 6475.

C) Fill. Ensure the fill is evenly distributed throughout the blanket.

1) Curled Wood Fiber. Use curled wood fiber of consistent thickness with at least 80 percent of its fibers 6 inches or longer in length.

2) Straw. Use only weed free agricultural straw.

2) Straw/Coconut Fiber. Conform to the straw requirements above and ensure the coconut fiber is evenly distributed throughout the blanket and accounts for 30% or more of the fill.

D) Netting. Use photodegradable extruded plastic mesh or netting, with a maximum spacing width of one inch square, on both sides of the blanket. Use a netting with a functional longevity of less than or equal to 90 days. Secure the netting by stitching or other method to ensure the blanket retains its integrity.

E) Staples. Use steel wire U-shaped staples with a minimum diameter of 0.09 inches (11 gauge), a minimum width of one inch, and a minimum length of 6 inches. Use a heavier gauge when working in rocky or clay soils and longer lengths in sandy soils. Provide staples with colored tops when requested by the Engineer.

F) Performance.

1) C-Factor. Ensure the ratio of soil loss from protected slope to ratio of soil loss from unprotected is ≤ 0.15 for a slope of 3:1 when tested according to ASTM D 7101 (2-inch/hour for 30 minutes).

2) Shear Stress. Ensure the blanket can sustain a minimum shear stress of 1.75 pounds per square foot without physical damage or excess

2.2 Quality Assurance Sampling, Testing, and Acceptance. Provide a Letter of Certification from the Manufacturer stating the product name, manufacturer, the AASHTO NTPEP Test Report showing the ECB-ST meets Department criteria, and the product data sheet or specification indicating the product netting has a functional longevity of less than or equal to 90 days. A certification letter is required for each product supplied on a project.

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| PROPOSED HTC MEDIAN BARRIER | | ITEM NO: 09-9011.00 |
| ROWAN COUNTY | ROUTE: I-64 | MILEPOINT: 129.06 TO 137.23 |

Special Note for Erosion Control Blanket-Short Term (cont.)
Rowan County / Item No 09-9011.00

3.0 CONSTRUCTION. Contrary to specification 212.03.03 E), Install ECB-ST only at locations specified in the Contract or as the Engineer directs. All other instructions for the installation of the ECB-ST shall be in accordance to specification 212.03.03 E).

4.0 MEASUREMENT. The Department will measure the quantity of ECB-ST by the square yard of surface covered. The Department will not measure seeding for payment and will consider it incidental to the ECB-ST. The Department will not measure any reworking of slopes, channels, or ditches for payment as it is considered corrective work and incidental to the ECB-ST.

5.0 PAYMENT. The Department will make payment for the completed and accepted quantities under the following:

| <u>Code</u> | <u>Pay Item</u> | <u>Pay Unit</u> |
|-------------|------------------------------------|-----------------|
| 24560EN | Erosion Control Blanket-Short Term | Square Yard |

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| PROPOSED HTC MEDIAN BARRIER | | ITEM NO: 09-9011.00 |
| ROWAN COUNTY | ROUTE: I-64 | MILEPOINT: 129.06 TO 137.23 |

Special Note for Erosion Prevention and Sediment Control
Rowan County / Item No 09-9011.00

The Contractor shall be responsible for filing the Kentucky Pollution Discharge Elimination System (KPDES) KYR10 permit Notice of Intent (NOI) with the Kentucky Division of Water (DOW) and any KPDES local Municipal Separate Storm Sewer System (MS4) program that has jurisdiction. The NOI shall name the contractor as the Facility Operator and include the KYTC Contract ID Number (CID) for reference.

The Contractor shall perform all temporary erosion/sediment control functions including: providing a Best Management Practice (BMP) Plan, conducting required inspections, modifying the BMP plan documents as construction progresses and documenting the installation and maintenance of BMPs in conformance with the KPDES KYR10 permit dated September 30, 2003 or a permit re-issued to replace the KYR10 permit. This work shall be conducted in conformance with the requirements of Section 213 of *KYTC Standard Specifications for Road and Bridge Construction (current edition)*.

Contrary to Section 213.03.03, paragraph 2, the Engineer shall conduct inspections as needed to verify compliance with Section 213 of *KYTC Standard Specifications for Road and Bridge Construction (current edition)*. The Engineer's inspections shall be performed a minimum of once per month and within seven days after a storm of 1/2 inch or greater. Copies of the Engineer's inspections shall not be provided to the contractor unless improvements to the BMP's are required. The contractor shall initiate corrective action within 24 hours of any reported deficiency and complete the work within 5 days. The Engineer shall use Form TC 63-61 A for this report. Inspections performed by the Engineer do not relieve the Contractor of any responsibility for compliance with the KPDES permit.

Contrary to Section 213.05, bid items for temporary BMPs will not be listed and will be replaced with one lump sum item for the services. Payment will be pro-rated based on the Project Schedule as submitted by the Contractor and as agreed to by the Engineer.

The contractor shall be responsible for applying "good engineering practices" as required by the KPDES permit. The contractor may use any temporary BMPs with the approval of the KYTC Engineer.

The contractor shall provide the Engineer copies of all documents required by the KPDES permit at the time they are prepared.

The contractor shall be responsible for the examination of the soils to be encountered and make his own independent determination of the temporary BMPs that will be required to accomplish effective erosion prevention and sediment control.

The Contractor shall be responsible for filing the KPDES permit Notice of Termination (NOT) with the Kentucky DOW and any local MS4 program that has jurisdiction. The NOT shall be filed after the Engineer agrees that the project is stabilized or the project has been formally accepted.

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| PROPOSED HTC MEDIAN BARRIER | | ITEM NO: 09-9011.00 |
| ROWAN COUNTY | ROUTE: I-64 | MILEPOINT: 129.06 TO 137.23 |

TRAFFIC CONTROL PLAN

Page 1 of 4

**THIS PROJECT IS A FULLY
CONTROLLED ACCESS HIGHWAY**

TRAFFIC CONTROL GENERAL

Except as provided herein, maintain and control traffic in accordance with the KYTC Department of Highways, Standard Specifications for Road and Bridge Construction (current edition), and the Standard Drawings (current edition). Except for the roadway and traffic control bid items listed, all items of work necessary to maintain and control traffic will be paid at the lump sum bid price to "Maintain and Control Traffic".

Contrary to Section 106.01, traffic control devices used on this project may be new, or used in like new condition, at the beginning of the work and maintained in like new condition until completion of the work.

The speed limit in work areas will be reduced by 15 M.P.H. from the posted speed and double fines for work zone speeding violations may be established. The extent of these areas within the project limits will be restricted to the proximity of actual work areas as determined by the Engineer. Double fine zones will be in place only when workers are present.

Until the Department makes written acceptance of the work, the Contractor shall rebuild, repair, and restore any portion of the HTC median barrier system damaged by any cause, including regular traffic impact. The Contractor shall bear the expense of these repairs. Partial acceptance for completed sections of HTC median barrier system shall be allowed at the end of the Construction season.

PROJECT PHASING & CONSTRUCTION PROCEDURES

The following closures will be allowed for I-64:

When work is being conducted in the median, the Contractor must have an interior shoulder closure in both directions at a minimum. Only minor operations which will cause no disruption to traffic flow (e.g. system layout, site preparation, etc.) may be allowed, at the Engineer's discretion, during shoulder closures. All other work must be conducted during the closure of the interior lane and shoulder. No equipment or material deliveries will be allowed under the shoulder closure scheme. The shoulder closure may not remain in place during non-working hours. The Contractor shall close only the interior lane adjacent to the placement of the HTC median barrier.

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| PROPOSED HTC MEDIAN BARRIER | |
| ROUTE: I-64 | ROWAN COUNTY |
| ITEM NO: 09-9011.00 | |
| MILEPOINT: 129.06 TO 137.23 | LENGTH: 8.17 MILES |

TRAFFIC CONTROL PLAN

Page 2 of 4

The interior lane and shoulder may be closed 24/7.

The interior shoulder may be closed at any time throughout the project (except on "No Closure" dates listed below).

No lane or shoulder closures will be allowed on the following days:

- Memorial Day Weekend
- Independence Day Weekend
- Labor Day Weekend

During lane closures, the clear lane width shall be 12 feet; however, make provisions for passage of vehicles up to 16 feet in width.

ALL TRAFFIC CONTROL DEVICES MUST BE MOVED FROM THE PAVED SURFACE BY THE TIMES SPECIFIED FOR LANE CLOSURES.

THE CONTRACTOR SHALL MAINTAIN POSITIVE DRAINAGE IN THE MEDIAN AT ALL TIMES ON THE PROJECT.

LANE CLOSURES

Do not leave lane closures in place during prohibited periods. Do not leave lane closures in place during nonworking hours. Leaving lane closures up during these times will cost the Contractor \$1,000 per lane per hour or fraction of an hour. Multiple lane closures may occur along the length of the project, but should not occur within 3 miles of each other and shall be limited to no more than 2 miles each in length. No long term lane closures will be allowed; therefore, contrary to Section 112, lane closures will not be measured for payment. For information on Lane Closure set up, please refer to Standard Drawing TTC-115 "Lane Closure Multi-Lane Highway Case I".

LIQUIDATED DAMAGES

This project has a fixed completion date of October 1, 2020. Contrary to Section 108.09 of the Department of Highways, Standard Specifications for Road and Bridge Construction (current edition), a \$10,000.00 per day penalty will be charged for days exceeding this amount.

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| PROPOSED HTC MEDIAN BARRIER | | ITEM NO: 09-9011.00 |
| ROWAN COUNTY | ROUTE: I-64 | MILEPOINT: 129.06 TO 137.23 |

TRAFFIC CONTROL PLAN

Page 3 of 4

SIGNS

The Engineer may require additional traffic control signs in addition to normal lane closure signing detailed on the Standard Drawings. Additional signs needed may include, but are not limited to, dual mounted LEFT LANE CLOSED 1 MILE, LEFT LANE CLOSED 2 MILE, LEFT LANE CLOSED 3 MILE, SLOWED/STOPPED TRAFFIC AHEAD, KEEP RIGHT, etc.

Individual signs will be measured only once for payment, under the Bid Item "Signs" regardless of how many times they are set, reset, removed, and relocated during the duration of the project. Replacements for damaged signs directed by the Engineer to be replaced due to poor condition or reflectivity will not be measured for payment.

PORTABLE CHANGEABLE MESSAGE SIGNS

Provide a minimum of two Portable Changeable Message Signs in advance of or on the project at locations designated by the Engineer. The Engineer will designate the messages to be provided. The locations and messages designated may vary as the work progresses. The Portable Changeable Message Signs shall be in operation at all times. In the event of damage or mechanical/electrical failure, immediately repair or replace the Portable Changeable Message Sign. Replacements for damaged Portable Changeable Message Signs directed by the Engineer to be replaced due to poor condition or legibility will not be measured for payment.

Refer to; "Special Note For Portable Changeable Message Signs (1I)" Paid under Bid Item "02671" Portable Changeable Message Signs.

BARRELS

Barrels are to be used for channelization or delineation and will be incidental to "MAINTAIN AND CONTROL TRAFFIC" according to Section 112.04.01. Replacements for damaged barrels directed by the Engineer to be replaced due to poor condition or reflectivity will not be measured for payment. Barrels will be used to delineate the closed/active lane lines and tapers.

ARROW PANEL

Arrow panels will be paid for once, no matter how many times they are moved or relocated. The Department **WILL NOT** take possession of the arrow panels upon completion of the work.

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| PROPOSED HTC MEDIAN BARRIER | ITEM NO: 09-9011.00 | |
| ROWAN COUNTY | ROUTE: I-64 | MILEPOINT: 129.06 TO 137.23 |

TRAFFIC CONTROL PLAN

Page 4 of 4

PROJECT TRAFFIC COORDINATOR

The Contractor shall supply a Project Traffic Coordinator (PTC) to monitor traffic control devices 24 hours a day throughout the duration of the project. The Project Traffic Coordinator must be equipped with a cellular phone and have the authority to immediately maintain and make changes in the traffic control as traffic conditions merit. The Contractor will be penalized one thousand dollars (\$1000) liquidated damages per day for any incidence that the Project Traffic Coordinator is not on the project. This project shall be classified as "significant", and thus will require the PTC to also be qualified as a work zone traffic control supervisor.

LAW ENFORCEMENT OFFICER

In accordance with Section 112.04 of the Standard Specifications for Road and Bridge Construction (current edition) a Law Enforcement Officer shall be on duty in the work zone during working hours for the duration of the project.


TRUCK MOUNTED ATTENUATORS



Furnish and install MUTCD approved Truck Mounted Attenuators in advance of work areas not protected by temporary concrete barrier wall, when workers are present less than 12 feet from traffic. If there is less than 500 feet between work sites, only a single TMA will be required at a location directed by the Engineer. Locate the TMAs at individual work sites and move them as the work zone moves within the project limits. All details of the TMA installations will be approved by the Engineer. Truck Mounted Attenuators will not be measured for payment, but are incidental to Maintain and Control Traffic. The Department **WIL NOT** take possession of the TMAs upon completion of the work.

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| PROPOSED HTC MEDIAN BARRIER | | ITEM NO: 09-9011.00 |
| ROWAN COUNTY | ROUTE: I-64 | MILEPOINT: 129.06 TO 137.23 |

APPENDIX GEOTECHNICAL REPORT SHEETS

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| PROPOSED HTC MEDIAN BARRIER | | ITEM NO: 09-9011.00 |
| ROWAN COUNTY | ROUTE: I-64 | MILEPOINT: 129.06 TO 137.23 |

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|  | KENTUCKY TRANSPORTATION CABINET Department of Highways DIVISION OF RIGHT OF WAY & UTILITIES RIGHT OF WAY CERTIFICATION | TC 62-226 Rev. 01/2016 Page 1 of 1 |
|---|--|--|

| | | | | | | | |
|--|-----------------|---|-------------------------|---|--|---|--|
| <input checked="" type="checkbox"/> | Original | <input type="checkbox"/> | Re-Certification | RIGHT OF WAY CERTIFICATION | | | |
| ITEM # | | COUNTY | | PROJECT # (STATE) | | PROJECT # (FEDERAL) | |
| 09-9011.00 | | Rowan | | FD52 103 1066601D | | HSIP 0646(066) | |
| PROJECT DESCRIPTION | | | | | | | |
| Cable Median Barrier I-64 @ 128.955 TO MP 137.268 IN ROWAN COUNTY, KY. (2018BOP) | | | | | | | |
| <input checked="" type="checkbox"/> No Additional Right of Way Required | | | | | | | |
| Construction will be within the limits of the existing right of way. The right of way was acquired in accordance to FHWA regulations under the Uniform Relocation Assistance and Real Property Acquisitions Policy Act of 1970, as amended. No additional right of way or relocation assistance were required for this project. | | | | | | | |
| <input type="checkbox"/> Condition # 1 (Additional Right of Way Required and Cleared) | | | | | | | |
| All necessary right of way, including control of access rights when applicable, have been acquired including legal and physical possession. Trial or appeal of cases may be pending in court but legal possession has been obtained. There may be some improvements remaining on the right-of-way, but all occupants have vacated the lands and improvements, and KYTC has physical possession and the rights to remove, salvage, or demolish all improvements and enter on all land. Just Compensation has been paid or deposited with the court. All relocations have been relocated to decent, safe, and sanitary housing or that KYTC has made available to displaced persons adequate replacement housing in accordance with the provisions of the current FHWA directive. | | | | | | | |
| <input type="checkbox"/> Condition # 2 (Additional Right of Way Required with Exception) | | | | | | | |
| The right of way has not been fully acquired, the right to occupy and to use all rights-of-way required for the proper execution of the project has been acquired. Some parcels may be pending in court and on other parcels full legal possession has not been obtained, but right of entry has been obtained, the occupants of all lands and improvements have vacated, and KYTC has physical possession and right to remove, salvage, or demolish all improvements. Just Compensation has been paid or deposited with the court for most parcels. Just Compensation for all pending parcels will be paid or deposited with the court prior to AWARD of construction contract | | | | | | | |
| <input type="checkbox"/> Condition # 3 (Additional Right of Way Required with Exception) | | | | | | | |
| The acquisition or right of occupancy and use of a few remaining parcels are not complete and/or some parcels still have occupants. All remaining occupants have had replacement housing made available to them in accordance with 49 CFR 24.204. KYTC is hereby requesting authorization to advertise this project for bids and to proceed with bid letting even though the necessary right of way will not be fully acquired, and/or some occupants will not be relocated, and/or the just compensation will not be paid or deposited with the court for some parcels until after bid letting. KYTC will fully meet all the requirements outlined in 23 CFR 635.309(c)(3) and 49 CFR 24.102(j) and will expedite completion of all acquisitions, relocations, and full payments after bid letting and prior to AWARD of the construction contract or force account construction. | | | | | | | |
| Total Number of Parcels on Project | | EXCEPTION (S) Parcel # | | ANTICIPATED DATE OF POSSESSION WITH EXPLANATION | | | |
| Number of Parcels That Have Been Acquired | | | | | | | |
| Signed Deed | | | | | | | |
| Condemnation | | | | | | | |
| Signed ROE | | | | | | | |
| Notes/ Comments (Use Additional Sheet if necessary) | | | | | | | |
| LPA RW Project Manager | | | | Right of Way Supervisor | | | |
| Printed Name | | | | Printed Name | | James R. Mason | |
| Signature | | | | Signature | |  Digitally signed by James R. Mason Date: 2019.09.20 10:25:13 -04'00' | |
| Date | | | | Date | | | |
| Right of Way Director | | | | FHWA | | | |
| Printed Name | | | | Printed Name | | | |
| Signature | |  Digitally signed by DM Loy Date: 2019.09.20 10:29:15 -04'00' | | Signature | | No Signature Required as per FHWA-KYTC Current Stewardship Agreement | |
| Date | | | | Date | | | |

UTILITIES AND RAIL CERTIFICATION NOTE

Rowan County
Federal Project No. 0646066
FD52 103 1066601D
Mile point: 128.955 TO 137.268
CABLE MEDIAN BARRIER ON I-64 FROM MP 128.955 TO MP 137.268 IN ROWAN COUNTY, KY.
(2018BOP)
ITEM NUMBER: 09-9011.00

PROJECT NOTES ON UTILITIES

Please Note: The information presented in this Utility Note is informational in nature and the information contained herein is not guaranteed.

The contractor will be responsible for contacting all utility facility owners on the subject project to coordinate his activities. The contractor will coordinate his activities to minimize and, where possible, avoid conflicts with utility facilities. Due to the nature of the work proposed, it is unlikely to conflict with the existing utilities beyond minor facility adjustments. Where conflicts with utility facilities are unavoidable, the contractor will coordinate any necessary relocation work with the facility owner and Resident Engineer. The Kentucky Transportation Cabinet maintains the right to remove or alter portions of this contract if a utility conflict occurs. The utility facilities as noted in the previous section(s) have been determined using data garnered by varied means and with varying degrees of accuracy: from the facility owners, a result of S.U.E., field inspections, and/or reviews of record drawings. The facilities defined may not be inclusive of all utilities in the project scope and are not Level A quality, unless specified as such. It is the contractor's responsibility to verify all utilities and their respective locations before excavating.

The contractor shall make every effort to protect underground facilities from damage as prescribed in the Underground Facility Damage Protection Act of 1994, Kentucky Revised Statute KRS 367.4901 to 367.4917. It is the contractor's responsibility to determine and take steps necessary to be in compliance with federal and state damage prevention directives. The contractor is instructed to contact KY 811 for the location of existing underground utilities. Contact shall be made a minimum of two (2) and no more than ten (10) business days prior to excavation. The contractor shall submit Excavation Locate Requests to the Kentucky Contact Center (KY 811) via web ticket entry. The submission of this request does not relieve the contractor from the responsibility of contacting non-member facility owners, whom are to be contacted through their individual Protection Notification Center. It may be necessary for the contractor to contact the County Court Clerk to determine what utility companies have facilities in the area. Non-compliance with these directives can result in the enforcement of penalties.

Utility coordination efforts determined that no significant utility relocation work is required to complete the project. Any work pertaining to these utility facilities is defined in the bid package and is to be

UTILITIES AND RAIL CERTIFICATION NOTE

Rowan County
Federal Project No. 0646066
FD52 103 1066601D
Mile point: 128.955 TO 137.268
CABLE MEDIAN BARRIER ON I-64 FROM MP 128.955 TO MP 137.268 IN ROWAN COUNTY, KY.
(2018BOP)
ITEM NUMBER: 09-9011.00

carried out as instructed by the Kentucky Transportation Cabinet. The contractor will be responsible for any coordination or adjustments that are discussed or quantified in the proposal.

NOTE: DO NOT DISTURB THE FOLLOWING FACILITIES LOCATED WITHIN THE PROJECT DISTURB LIMITS

There are no known underground utilities within the project limits that should be of concern. However, the contractor is advised to contact KY 811 for locate tickets on the project. The contractor is also advised to be aware of and use caution near any and all overhead utilities that cross the project.

The Contractor is fully responsible for protection of all utilities listed above

THE FOLLOWING FACILITY OWNERS ARE RELOCATING/ADJUSTING THEIR FACILITIES WITHIN THE PROJECT LIMITS AND WILL BE COMPLETE PRIOR TO CONSTRUCTION

Not Applicable

THE FOLLOWING FACILITY OWNERS HAVE FACILITIES TO BE RELOCATED/ADJUSTED BY THE OWNER OR THEIR SUBCONTRACTOR AND IS TO BE COORDINATED WITH THE ROAD CONTRACT

UTILITIES AND RAIL CERTIFICATION NOTE

Rowan County
Federal Project No. 0646066
FD52 103 1066601D
Mile point: 128.955 TO 137.268
CABLE MEDIAN BARRIER ON I-64 FROM MP 128.955 TO MP 137.268 IN ROWAN COUNTY, KY.
(2018BOP)
ITEM NUMBER: 09-9011.00

Not Applicable

THE FOLLOWING FACILITY OWNERS HAVE FACILITIES TO BE RELOCATED/ADJUSTED BY THE ROAD CONTRACTOR AS INCLUDED IN THIS CONTRACT

Not Applicable

RAIL COMPANIES HAVE FACILITIES IN CONJUNCTION WITH THIS PROJECT AS NOTED

- No Rail Involvement** **Rail Involved** **Rail Adjacent**

UTILITIES AND RAIL CERTIFICATION NOTE

Rowan County
Federal Project No. 0646066
FD52 103 1066601D
Mile point: 128.955 TO 137.268
CABLE MEDIAN BARRIER ON I-64 FROM MP 128.955 TO MP 137.268 IN ROWAN COUNTY, KY.
(2018BOP)
ITEM NUMBER: 09-9011.00

AREA FACILITY OWNER CONTACT LIST


| Facility Owner | Address | Contact Name | Phone | Email |
|---------------------------------------|---|---------------------|--------------|-----------------------------|
| Windstream Communications - Telephone | P.O. Box 25410 Little Rock AK 72212 | Kijana Royal | 5017487628 | Kijana.Royal@windstream.com |
| Windstream Communication | Local Contact for Mason Co | Larry Potter | 606-784-9830 | Larry.Potter@windstream.com |

(R-005-2017)

MEMORANDUM

TO: Keith Caudill, PE
Office of Project Development
Division of Highway Design

FROM: Bart Asher, PE
Geotechnical Branch Manager
Division of Structural Design

BY: Jason Wright 
Geotechnical Branch

DATE: March 21, 2017

SUBJECT: Rowan County
I-64 Median-Cable Guardrail
Mile Post 137.2 to 148.6
Item #9-9005.00
Mars # 9265201D
Cable Barrier Anchor Drill Logs

Drilling activities were completed in March 2017. The summary of soil conditions represents soils within the stated project limits. Boring locations were located at provided anchor points and drilled just off the shoulder of the eastbound lane. At each hole SPT's were performed and the associated blow counts were recorded. The Driller's Subsurface Logs contain the depth of the hole, SPT values, soil description and depth to refusal (if encountered).

Mile Points are listed as stations on the Drillers Log, i.e. STA 1+38.21 is mile point 138.21.

The average Frost Depth for Kentucky is 2.0 feet.

If there are any questions, please contact the Geotechnical Branch at (502) 564-2374.

Attachments:

Drilling Firm: Kentucky Transportation Cabinet
For: Division of Structural Design
Geotechnical Branch

DRILLER'S SUBSURFACE LOG

Printed: 3/21/17

Page 1 of 1

| Project ID: <u>R-005-2017</u> | | <u>Rowan - I-64 MP 137.2-148.6</u> | | | Project Type: <u>Roadway Cable Guardrail</u> | | | | |
|-------------------------------|-------|---|------------|------------------------------|--|----------------------------|-----------|-------------|---------|
| Item Number: <u>09-9005.</u> | | | | | Project Manager: <u>Jason Wright</u> | | | | |
| Hole Number <u>_1_</u> | | Immediate Water Depth <u>_NA_</u> | | Start Date <u>03/15/2017</u> | | Hole Type <u>_sample_</u> | | | |
| Surface Elevation <u>_'</u> | | Static Water Depth <u>_NA_</u> | | End Date <u>03/15/2017</u> | | Rig Number <u>0094-320</u> | | | |
| Total Depth <u>_10.5'_</u> | | Driller <u>Mark Holbrook</u> | | Latitude(83) <u>_</u> | | | | | |
| Location <u>1+38.21 CL</u> | | | | Longitude(83) <u>_</u> | | | | | |
| Lithology | | Description | Overburden | Sample No. | Depth (ft) | Rec. (ft) | SPT Blows | Sample Type | Remarks |
| Elevation | Depth | | Rock Core | Std/Ky RQD | Run (ft) | Rec (ft) | Rec (%) | SDI (JS) | |
| | | Medium dense, brown, moist, silty clay with rock fragments (fill material). | | 1 | 2.0-3.5 | 1.5 | 7-13-13 | SPT | |
| | | | | 2 | 4.0-5.5 | 1.5 | 5-10-9 | SPT | |
| | | | | 3 | 9.0-10.5 | 1.5 | 3-6-6 | SPT | |
| | 10.5 | | | | | | | | |
| | | (Bottom of Hole 10.5') (No Refusal) | | | | | | | |

DRILLER'S SUBSURFACE LOG

| | | |
|-------------------------------|------------------------------------|--|
| Project ID: <u>R-005-2017</u> | <u>Rowan - I-64 MP 137.2-148.6</u> | Project Type: <u>Roadway Cable Guardrail</u> |
| Item Number: <u>09-9005.</u> | | Project Manager: <u>Jason Wright</u> |

| | | | |
|----------------------------|---------------------------------|------------------------------|----------------------------|
| Hole Number <u>2</u> | Immediate Water Depth <u>NA</u> | Start Date <u>03/15/2017</u> | Hole Type <u>sample</u> |
| Surface Elevation <u>'</u> | Static Water Depth <u>NA</u> | End Date <u>03/15/2017</u> | Rig Number <u>0094-320</u> |
| Total Depth <u>10.5'</u> | Driller <u>Mark Holbrook</u> | Latitude(83) <u>__</u> | |
| Location <u>1+40.01 CL</u> | | Longitude(83) <u>__</u> | |

| Lithology | | Description | Overburden | Sample No. | Depth (ft) | Rec. (ft) | SPT Blows | Sample Type | Remarks |
|-----------|-------|---|--|------------|------------|-----------|-----------|-------------|---------|
| Elevation | Depth | | Rock Core | Std/Ky RQD | Run (ft) | Rec (ft) | Rec (%) | SDI (JS) | |
| | | Medium dense, brown, moist, silty clay with rock fragments (Fill Material). | | 1 | 2.0-3.5 | 1.5 | 6-9-8 | SPT | |
| | | | | 2 | 4.0-5.5 | 1.5 | 6-6-9 | SPT | 5 |
| 10 | 10.5 | | | 3 | 9.0-10.5 | 1.5 | 4-8-9 | SPT | 10 |
| 15 | | | (Bottom of Hole 10.5') (No Refusal) | | | | | | |
| 20 | | | | | | | | | 20 |
| 25 | | | | | | | | | 25 |
| 30 | | | | | | | | | 30 |
| 35 | | | | | | | | | 35 |
| 40 | | | | | | | | | 40 |
| 45 | | | | | | | | | 45 |
| 50 | | | | | | | | | 50 |

DRILLER'S SUBSURFACE LOG

| | | | | | | | | |
|-------------------------------|--|------------------------------------|--|------------------------------|--|----------------------------|--|--|
| Project ID: <u>R-005-2017</u> | | <u>Rowan - I-64 MP 137.2-148.6</u> | | | Project Type: <u>Roadway Cable Guardrail</u> | | | |
| Item Number: <u>09-9005.</u> | | | | | Project Manager: <u>Jason Wright</u> | | | |
| Hole Number <u>3</u> | | Immediate Water Depth <u>NA</u> | | Start Date <u>03/15/2017</u> | | Hole Type <u>sample</u> | | |
| Surface Elevation <u>'</u> | | Static Water Depth <u>NA</u> | | End Date <u>03/15/2017</u> | | Rig Number <u>0094-320</u> | | |
| Total Depth <u>10.5'</u> | | Driller <u>Mark Holbrook</u> | | Latitude(83) <u>__</u> | | | | |
| Location <u>1+41.71 CL</u> | | | | Longitude(83) <u>__</u> | | | | |

| Lithology | | Description | Overburden | Sample No. | Depth (ft) | Rec. (ft) | SPT Blows | Sample Type | Remarks |
|-----------|-------|--|------------|------------|------------|-----------|-----------|-------------|---------|
| Elevation | Depth | | Rock Core | Std/Ky RQD | Run (ft) | Rec (ft) | Rec (%) | SDI (JS) | |
| | | Medium dense, brown and gray, moist, silty clay with rock fragments (Fill Material). | | 1 | 2.0-3.5 | 1.5 | 4-10-12 | SPT | |
| 5 | 7.0 | | | | 2 | 4.0-5.5 | 1.5 | 2-3-2 | SPT |
| | | Medium soft, gray, very moist, shale (Fill Material). | | | | | | | |
| 10 | 10.5 | | | 3 | 9.0-10.5 | 1.5 | 2-3-4 | SPT | 10 |
| 15 | | (Bottom of Hole 10.5') (No Refusal) | | | | | | | 15 |
| 20 | | | | | | | | | 20 |
| 25 | | | | | | | | | 25 |
| 30 | | | | | | | | | 30 |
| 35 | | | | | | | | | 35 |
| 40 | | | | | | | | | 40 |
| 45 | | | | | | | | | 45 |
| 50 | | | | | | | | | 50 |

Drilling Firm: Kentucky Transportation Cabinet
 For: Division of Structural Design
 Geotechnical Branch

DRILLER'S SUBSURFACE LOG

Printed: 3/21/17

| | | |
|-------------------------------|------------------------------------|--|
| Project ID: <u>R-005-2017</u> | <u>Rowan - I-64 MP 137.2-148.6</u> | Project Type: <u>Roadway Cable Guardrail</u> |
| Item Number: <u>09-9005.</u> | | Project Manager: <u>Jason Wright</u> |

| | | | |
|----------------------------|---------------------------------|------------------------------|----------------------------|
| Hole Number <u>4</u> | Immediate Water Depth <u>NA</u> | Start Date <u>03/15/2017</u> | Hole Type <u>sample</u> |
| Surface Elevation <u>'</u> | Static Water Depth <u>NA</u> | End Date <u>03/15/2017</u> | Rig Number <u>0094-320</u> |
| Total Depth <u>10.5'</u> | Driller <u>Mark Holbrook</u> | Latitude(83) <u>__</u> | |
| Location <u>1+42.90 CL</u> | | Longitude(83) <u>__</u> | |

| Lithology | | Description | Overburden | Sample No. | Depth (ft) | Rec. (ft) | SPT Blows | Sample Type | Remarks |
|-----------|-------|---|------------|------------|------------|-----------|-----------|-------------|---------|
| Elevation | Depth | | Rock Core | Std/Ky RQD | Run (ft) | Rec (ft) | Rec (%) | SDI (JS) | |
| | | Medium dense, brown, moist, silty clay with rock fragments (Fill Material). | | 1 | 2.0-3.5 | 1.5 | 4-8-11 | SPT | |
| | | | | 2 | 4.0-5.5 | 1.5 | 7-7-14 | SPT | |
| | | | | 3 | 9.0-10.5 | 1.5 | 10-14-9 | SPT | |
| | 10.5 | | | | | | | | |
| | | (Bottom of Hole 10.5') (No Refusal) | | | | | | | |

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Drilling Firm: Kentucky Transportation Cabinet
 For: Division of Structural Design
 Geotechnical Branch

DRILLER'S SUBSURFACE LOG

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|-------------------------------|------------------------------------|--|
| Project ID: <u>R-005-2017</u> | <u>Rowan - I-64 MP 137.2-148.6</u> | Project Type: <u>Roadway Cable Guardrail</u> |
| Item Number: <u>09-9005.</u> | | Project Manager: <u>Jason Wright</u> |

| | | | |
|----------------------------|---------------------------------|------------------------------|----------------------------|
| Hole Number <u>6</u> | Immediate Water Depth <u>NA</u> | Start Date <u>03/15/2017</u> | Hole Type <u>sample</u> |
| Surface Elevation <u>'</u> | Static Water Depth <u>NA</u> | End Date <u>03/15/2017</u> | Rig Number <u>0094-320</u> |
| Total Depth <u>10.5'</u> | Driller <u>Mark Holbrook</u> | Latitude(83) <u>__</u> | |
| Location <u>1+46.16 CL</u> | | Longitude(83) <u>__</u> | |

| Lithology | | Description | Overburden | Sample No. | Depth (ft) | Rec. (ft) | SPT Blows | Sample Type | Remarks |
|-----------|-------|--|------------|------------|------------|-----------|-----------|-------------|---------|
| Elevation | Depth | | Rock Core | Std/Ky RQD | Run (ft) | Rec (ft) | Rec (%) | SDI (JS) | |
| | | Brown, moist, silty clay with gray shale boulders (Fill Material). | | 1 | 2.0-3.5 | 1.5 | 5-6-8 | SPT | |
| | | | | 2 | 4.0-5.5 | 1.5 | 5-4-2 | SPT | 5 |
| | | | | | | | | | |
| 10 | 10.5 | | | 3 | 9.0-10.5 | 1.5 | 3-4-7 | SPT | 10 |
| 15 | | (Bottom of Hole 10.5') (No Refusal) | | | | | | | 15 |
| 20 | | | | | | | | | 20 |
| 25 | | | | | | | | | 25 |
| 30 | | | | | | | | | 30 |
| 35 | | | | | | | | | 35 |
| 40 | | | | | | | | | 40 |
| 45 | | | | | | | | | 45 |
| 50 | | | | | | | | | 50 |

Drilling Firm: Kentucky Transportation Cabinet
For: Division of Structural Design
Geotechnical Branch

DRILLER'S SUBSURFACE LOG

| Project ID: <u>R-005-2017</u> | | <u>Rowan - I-64 MP 137.2-148.6</u> | | | Project Type: <u>Roadway Cable Guardrail</u> | | | | |
|-------------------------------|-------|--|------------|------------------------------|--|----------------------------|-----------|-------------|---------|
| Item Number: <u>09-9005.</u> | | | | | Project Manager: <u>Jason Wright</u> | | | | |
| Hole Number <u>7</u> | | Immediate Water Depth <u>NA</u> | | Start Date <u>03/15/2017</u> | | Hole Type <u>sounding</u> | | | |
| Surface Elevation <u>'</u> | | Static Water Depth <u>NA</u> | | End Date <u>03/15/2017</u> | | Rig Number <u>0094-320</u> | | | |
| Total Depth <u>2.5'</u> | | Driller <u>Mark Holbrook</u> | | Latitude(83) <u></u> | | | | | |
| Location <u>1+46.95 CL</u> | | | | Longitude(83) <u></u> | | | | | |
| Lithology | | Description | Overburden | Sample No. | Depth (ft) | Rec. (ft) | SPT Blows | Sample Type | Remarks |
| Elevation | Depth | | Rock Core | Std/Ky RQD | Run (ft) | Rec (ft) | Rec (%) | SDI (JS) | |
| | 2.5 | Medium dense, brown, dry, clay with small limestone cobbles (Fill Material). | (Refusal) | | | | | | |
| 5 | | (Bottom of Hole 2.5') (Refusal @ 2.5) | | | | | | | 5 |
| 10 | | | | | | | | | 10 |
| 15 | | | | | | | | | 15 |
| 20 | | | | | | | | | 20 |
| 25 | | | | | | | | | 25 |
| 30 | | | | | | | | | 30 |
| 35 | | | | | | | | | 35 |
| 40 | | | | | | | | | 40 |
| 45 | | | | | | | | | 45 |
| 50 | | | | | | | | | 50 |

Drilling Firm: Kentucky Transportation Cabinet
For: Division of Structural Design
Geotechnical Branch

DRILLER'S SUBSURFACE LOG

| Project ID: <u>R-005-2017</u> | | <u>Rowan - I-64 MP 137.2-148.6</u> | | | Project Type: <u>Roadway Cable Guardrail</u> | | | | |
|-------------------------------|-------|---|------------|------------------------------|--|----------------------------|-----------|-------------|---------|
| Item Number: <u>09-9005.</u> | | | | | Project Manager: <u>Jason Wright</u> | | | | |
| Hole Number <u>8</u> | | Immediate Water Depth <u>NA</u> | | Start Date <u>03/15/2017</u> | | Hole Type <u>sample</u> | | | |
| Surface Elevation <u> </u> | | Static Water Depth <u>NA</u> | | End Date <u>03/15/2017</u> | | Rig Number <u>0094-320</u> | | | |
| Total Depth <u>7.1'</u> | | Driller <u>Mark Holbrook</u> | | Latitude(83) <u> </u> | | | | | |
| Location <u>1+48.67 CL</u> | | | | Longitude(83) <u> </u> | | | | | |
| Lithology | | Description | Overburden | Sample No. | Depth (ft) | Rec. (ft) | SPT Blows | Sample Type | Remarks |
| Elevation | Depth | | Rock Core | Std/Ky RQD | Run (ft) | Rec (ft) | Rec (%) | SDI (JS) | |
| | | Medium dense, brown, moist, silty clay with small boulders (fill material). | | 1 | 2.0-3.5 | 1.5 | 13-21-12 | SPT | |
| | | | | 2 | 4.0-5.5 | 1.5 | 30-17-9 | SPT | |
| | 7.1 | (Bottom of Hole 7.1') (Refusal @ 7.1) | | | | | | | |



PART II
SPECIFICATIONS AND STANDARD DRAWINGS

SPECIFICATIONS REFERENCE

Any reference in the plans or proposal to previous editions of the *Standard Specifications for Road and Bridge Construction* and *Standard Drawings* are superseded by *Standard Specifications for Road and Bridge Construction, Edition of 2019* and *Standard Drawings, Edition of 2016*.

SUPPLEMENTAL SPECIFICATIONS

The contractor shall use the Supplemental Specifications that are effective at the time of letting.
The Supplemental Specifications can be found at the following link:

<http://transportation.ky.gov/Construction/Pages/Kentucky-Standard-Specifications.aspx>

SPECIAL NOTE FOR PORTABLE CHANGEABLE MESSAGE SIGNS

This Special Note will apply when indicated on the plans or in the proposal.

1.0 DESCRIPTION. Furnish, install, operate, and maintain variable message signs at the locations shown on the plans or designated by the Engineer. Remove and retain possession of variable message signs when they are no longer needed on the project.

2.0 MATERIALS.

2.1 General. Use LED Variable Message Signs Class I, II, or III, as appropriate, from the Department's List of Approved Materials.

Unclassified signs may be submitted for approval by the Engineer. The Engineer may require a daytime and nighttime demonstration. The Engineer will make a final decision within 30 days after all required information is received.

2.2 Sign and Controls. All signs must:

- 1) Provide 3-line messages with each line being 8 characters long and at least 18 inches tall. Each character comprises 35 pixels.
- 2) Provide at least 40 preprogrammed messages available for use at any time. Provide for quick and easy change of the displayed message; editing of the message; and additions of new messages.
- 3) Provide a controller consisting of:
 - a) Keyboard or keypad.
 - b) Readout that mimics the actual sign display. (When LCD or LCD type readout is used, include backlighting and heating or otherwise arrange for viewing in cold temperatures.)
 - c) Non-volatile memory or suitable memory with battery backup for storing pre-programmed messages.
 - d) Logic circuitry to control the sequence of messages and flash rate.
- 4) Provide a serial interface that is capable of supporting complete remote control ability through land line and cellular telephone operation. Include communication software capable of immediately updating the message, providing complete sign status, and allowing message library queries and updates.
- 5) Allow a single person easily to raise the sign to a satisfactory height above the pavement during use, and lower the sign during travel.
- 6) Be Highway Orange on all exterior surfaces of the trailer, supports, and controller cabinet.
- 7) Provide operation in ambient temperatures from -30 to + 120 degrees Fahrenheit during snow, rain and other inclement weather.
- 8) Provide the driver board as part of a module. All modules are interchangeable, and have plug and socket arrangements for disconnection and reconnection. Printed circuit boards associated with driver boards have a conformable coating to protect against moisture.
- 9) Provide a sign case sealed against rain, snow, dust, insects, etc. The lens is UV stabilized clear plastic (polycarbonate, acrylic, or other approved material) angled to prevent glare.
- 10) Provide a flat black UV protected coating on the sign hardware, character PCB, and appropriate lens areas.
- 11) Provide a photocell control to provide automatic dimming.

- 12) Allow an on-off flashing sequence at an adjustable rate.
- 13) Provide a sight to aim the message.
- 14) Provide a LED display color of approximately 590 nm amber.
- 15) Provide a controller that is password protected.
- 16) Provide a security device that prevents unauthorized individuals from accessing the controller.
- 17) Provide the following 3-line messages preprogrammed and available for use when the sign unit begins operation:

| | |
|-------------------------|-------------------------|
| /KEEP/RIGHT/=>=>=>/ | /MIN/SPEED/**MPH/ |
| /KEEP/LEFT/<<<</ | /ICY/BRIDGE/AHEAD/ /ONE |
| /LOOSE/GRAVEL/AHEAD/ | LANE/BRIDGE/AHEAD/ |
| /RD WORK/NEXT/**MILES/ | /ROUGH/ROAD/AHEAD/ |
| /TWO WAY/TRAFFIC/AHEAD/ | /MERGING/TRAFFIC/AHEAD/ |
| /PAINT/CREW/AHEAD/ | /NEXT/**/MILES/ |
| /REDUCE/SPEED/**MPH/ | /HEAVY/TRAFFIC/AHEAD/ |
| /BRIDGE/WORK/**0 FT/ | /SPEED/LIMIT/**MPH/ |
| /MAX/SPEED/**MPH/ | /BUMP/AHEAD/ |
| /SURVEY/PARTY/AHEAD/ | /TWO/WAY/TRAFFIC/ |

*Insert numerals as directed by the Engineer.
Add other messages during the project when required by the Engineer.

2.3 Power.

- 1) Design solar panels to yield 10 percent or greater additional charge than sign consumption. Provide direct wiring for operation of the sign or arrow board from an external power source to provide energy backup for 21 days without sunlight and an on-board system charger with the ability to recharge completely discharged batteries in 24 hours.

3.0 CONSTRUCTION. Furnish and operate the variable message signs as designated on the plans or by the Engineer. Ensure the bottom of the message panel is a minimum of 7 feet above the roadway in urban areas and 5 feet above in rural areas when operating. Use Class I, II, or III signs on roads with a speed limit less than 55 mph. Use Class I or II signs on roads with speed limits 55 mph or greater.

Maintain the sign in proper working order, including repair of any damage done by others, until completion of the project. When the sign becomes inoperative, immediately repair or replace the sign. Repetitive problems with the same unit will be cause for rejection and replacement.

Use only project related messages and messages directed by the Engineer, unnecessary messages lessen the impact of the sign. Ensure the message is displayed in either one or 2 phases with each phase having no more than 3 lines of text. When no message is needed, but it is necessary to know if the sign is operable, flash only a pixel.

When the sign is not needed, move it outside the clear zone or where the Engineer directs. Variable Message Signs are the property of the Contractor and shall be removed from the project when no longer needed. The Department will not assume ownership of these signs.

4.0 MEASUREMENT. The final quantity of Variable Message Sign will be

11

the actual number of individual signs acceptably furnished and operated during the project. The Department will not measure signs replaced due to damage or rejection.

5.0 PAYMENT. The Department will pay for the Variable Message Signs at the unit price each. The Department will not pay for signs replaced due to damage or rejection. Payment is full compensation for furnishing all materials, labor, equipment, and service necessary to, operate, move, repair, and maintain or replace the variable message signs. The Department will make payment for the completed and accepted quantities under the following:

| <u>Code</u> | <u>Pay Item</u> | <u>Pay Unit</u> |
|-------------|----------------------------------|-----------------|
| 02671 | Portable Changeable Message Sign | Each |

Effective June 15, 2012

PART III

EMPLOYMENT, WAGE AND RECORD REQUIREMENTS

FHWA-1273 -- Revised May 1, 2012

**REQUIRED CONTRACT PROVISIONS
FEDERAL-AID CONSTRUCTION CONTRACTS**

- I. General
- II. Nondiscrimination
- III. Nonsegregated Facilities
- IV. Davis-Bacon and Related Act Provisions
- V. Contract Work Hours and Safety Standards Act Provisions
- VI. Subletting or Assigning the Contract
- VII. Safety: Accident Prevention
- VIII. False Statements Concerning Highway Projects
- IX. Implementation of Clean Air Act and Federal Water Pollution Control Act
- X. Compliance with Governmentwide Suspension and Debarment Requirements
- XI. Certification Regarding Use of Contract Funds for Lobbying

3. A breach of any of the stipulations contained in these Required Contract Provisions may be sufficient grounds for withholding of progress payments, withholding of final payment, termination of the contract, suspension / debarment or any other action determined to be appropriate by the contracting agency and FHWA.

4. Selection of Labor: During the performance of this contract, the contractor shall not use convict labor for any purpose within the limits of a construction project on a Federal-aid highway unless it is labor performed by convicts who are on parole, supervised release, or probation. The term Federal-aid highway does not include roadways functionally classified as local roads or rural minor collectors.

ATTACHMENTS

A. Employment and Materials Preference for Appalachian Development Highway System or Appalachian Local Access Road Contracts (included in Appalachian contracts only)

II. NONDISCRIMINATION

The provisions of this section related to 23 CFR Part 230 are applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more. The provisions of 23 CFR Part 230 are not applicable to material supply, engineering, or architectural service contracts.

I. GENERAL

1. Form FHWA-1273 must be physically incorporated in each construction contract funded under Title 23 (excluding emergency contracts solely intended for debris removal). The contractor (or subcontractor) must insert this form in each subcontract and further require its inclusion in all lower tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services).

In addition, the contractor and all subcontractors must comply with the following policies: Executive Order 11246, 41 CFR 60, 29 CFR 1625-1627, Title 23 USC Section 140, the Rehabilitation Act of 1973, as amended (29 USC 794), Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

The applicable requirements of Form FHWA-1273 are incorporated by reference for work done under any purchase order, rental agreement or agreement for other services. The prime contractor shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

The contractor and all subcontractors must comply with: the requirements of the Equal Opportunity Clause in 41 CFR 60-1.4(b) and, for all construction contracts exceeding \$10,000, the Standard Federal Equal Employment Opportunity Construction Contract Specifications in 41 CFR 60-4.3.

Form FHWA-1273 must be included in all Federal-aid design-build contracts, in all subcontracts and in lower tier subcontracts (excluding subcontracts for design services, purchase orders, rental agreements and other agreements for supplies or services). The design-builder shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Note: The U.S. Department of Labor has exclusive authority to determine compliance with Executive Order 11246 and the policies of the Secretary of Labor including 41 CFR 60, and 29 CFR 1625-1627. The contracting agency and the FHWA have the authority and the responsibility to ensure compliance with Title 23 USC Section 140, the Rehabilitation Act of 1973, as amended (29 USC 794), and Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

Contracting agencies may reference Form FHWA-1273 in bid proposal or request for proposal documents, however, the Form FHWA-1273 must be physically incorporated (not referenced) in all contracts, subcontracts and lower-tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services related to a construction contract).

The following provision is adopted from 23 CFR 230, Appendix A, with appropriate revisions to conform to the U.S. Department of Labor (US DOL) and FHWA requirements.

2. Subject to the applicability criteria noted in the following sections, these contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract.

1. Equal Employment Opportunity: Equal employment opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (28 CFR 35, 29 CFR 1630, 29 CFR 1625-1627, 41 CFR 60 and 49 CFR 27) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140 shall constitute the EEO and specific affirmative action standards for the contractor's project activities under

this contract. The provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) set forth under 28 CFR 35 and 29 CFR 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:

a. The contractor will work with the contracting agency and the Federal Government to ensure that it has made every good faith effort to provide equal opportunity with respect to all of its terms and conditions of employment and in their review of activities under the contract.

b. The contractor will accept as its operating policy the following statement:

"It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, pre-apprenticeship, and/or on-the-job training."

2. EEO Officer: The contractor will designate and make known to the contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active EEO program and who must be assigned adequate authority and responsibility to do so.

3. Dissemination of Policy: All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action, or who are substantially involved in such action, will be made fully cognizant of, and will implement, the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:

a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer.

b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.

c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minorities and women.

d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.

e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.

4. Recruitment: When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minorities and women in the area from which the project work force would normally be derived.

a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minorities and women. To meet this requirement, the contractor will identify sources of potential minority group employees, and establish with such identified sources procedures whereby minority and women applicants may be referred to the contractor for employment consideration.

b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, the contractor is expected to observe the provisions of that agreement to the extent that the system meets the contractor's compliance with EEO contract provisions. Where implementation of such an agreement has the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Federal nondiscrimination provisions.

c. The contractor will encourage its present employees to refer minorities and women as applicants for employment. Information and procedures with regard to referring such applicants will be discussed with employees.

5. Personnel Actions: Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, national origin, age or disability. The following procedures shall be followed:

a. The contractor will conduct periodic inspections of project sites to insure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.

b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.

c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.

d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with its obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of their avenues of appeal.

6. Training and Promotion:

a. The contractor will assist in locating, qualifying, and increasing the skills of minorities and women who are

applicants for employment or current employees. Such efforts should be aimed at developing full journey level status employees in the type of trade or job classification involved.

b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs, i.e., apprenticeship, and on-the-job training programs for the geographical area of contract performance. In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision. The contracting agency may reserve training positions for persons who receive welfare assistance in accordance with 23 U.S.C. 140(a).

c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.

d. The contractor will periodically review the training and promotion potential of employees who are minorities and women and will encourage eligible employees to apply for such training and promotion.

7. Unions: If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use good faith efforts to obtain the cooperation of such unions to increase opportunities for minorities and women. Actions by the contractor, either directly or through a contractor's association acting as agent, will include the procedures set forth below:

a. The contractor will use good faith efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minorities and women for membership in the unions and increasing the skills of minorities and women so that they may qualify for higher paying employment.

b. The contractor will use good faith efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, national origin, age or disability.

c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the contracting agency and shall set forth what efforts have been made to obtain such information.

d. In the event the union is unable to provide the contractor with a reasonable flow of referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, national origin, age or disability; making full efforts to obtain qualified and/or qualifiable minorities and women. The failure of a union to provide sufficient referrals (even though it is obligated to provide exclusive referrals under the terms of a collective bargaining agreement) does not relieve the contractor from the requirements of this paragraph. In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the contracting agency.

8. Reasonable Accommodation for Applicants / Employees with Disabilities: The contractor must be familiar

with the requirements for and comply with the Americans with Disabilities Act and all rules and regulations established there under. Employers must provide reasonable accommodation in all employment activities unless to do so would cause an undue hardship.

9. Selection of Subcontractors, Procurement of Materials and Leasing of Equipment: The contractor shall not discriminate on the grounds of race, color, religion, sex, national origin, age or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The contractor shall take all necessary and reasonable steps to ensure nondiscrimination in the administration of this contract.

a. The contractor shall notify all potential subcontractors and suppliers and lessors of their EEO obligations under this contract.

b. The contractor will use good faith efforts to ensure subcontractor compliance with their EEO obligations.

10. Assurance Required by 49 CFR 26.13(b):

a. The requirements of 49 CFR Part 26 and the State DOT's U.S. DOT-approved DBE program are incorporated by reference.

b. The contractor or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the contracting agency deems appropriate.

11. Records and Reports: The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following the date of the final payment to the contractor for all contract work and shall be available at reasonable times and places for inspection by authorized representatives of the contracting agency and the FHWA.

a. The records kept by the contractor shall document the following:

(1) The number and work hours of minority and non-minority group members and women employed in each work classification on the project;

(2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women; and

(3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minorities and women;

b. The contractors and subcontractors will submit an annual report to the contracting agency each July for the duration of the project, indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on [Form FHWA-1391](#). The staffing data should represent the project work force on board in all or any part of the last payroll period preceding the end of July. If on-the-job training is being required by special provision, the contractor

will be required to collect and report training data. The employment data should reflect the work force on board during all or any part of the last payroll period preceding the end of July.

III. NONSEGREGATED FACILITIES

This provision is applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more.

The contractor must ensure that facilities provided for employees are provided in such a manner that segregation on the basis of race, color, religion, sex, or national origin cannot result. The contractor may neither require such segregated use by written or oral policies nor tolerate such use by employee custom. The contractor's obligation extends further to ensure that its employees are not assigned to perform their services at any location, under the contractor's control, where the facilities are segregated. The term "facilities" includes waiting rooms, work areas, restaurants and other eating areas, time clocks, restrooms, washrooms, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees. The contractor shall provide separate or single-user restrooms and necessary dressing or sleeping areas to assure privacy between sexes.

IV. DAVIS-BACON AND RELATED ACT PROVISIONS

This section is applicable to all Federal-aid construction projects exceeding \$2,000 and to all related subcontracts and lower-tier subcontracts (regardless of subcontract size). The requirements apply to all projects located within the right-of-way of a roadway that is functionally classified as Federal-aid highway. This excludes roadways functionally classified as local roads or rural minor collectors, which are exempt. Contracting agencies may elect to apply these requirements to other projects.

The following provisions are from the U.S. Department of Labor regulations in 29 CFR 5.5 "Contract provisions and related matters" with minor revisions to conform to the FHWA-1273 format and FHWA program requirements.

1. Minimum wages

a. All laborers and mechanics employed or working upon the site of the work, will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics.

Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions

of paragraph 1.d. of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in 29 CFR 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: Provided, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under paragraph 1.b. of this section) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

b.(1) The contracting officer shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:

- (i) The work to be performed by the classification requested is not performed by a classification in the wage determination; and
- (ii) The classification is utilized in the area by the construction industry; and
- (iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.

(2) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(3) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Wage and Hour Administrator for determination. The Wage and Hour Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or

will notify the contracting officer within the 30-day period that additional time is necessary.

(4) The wage rate (including fringe benefits where appropriate) determined pursuant to paragraphs 1.b.(2) or 1.b.(3) of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

c. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.

d. If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program. Provided, That the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

2. Withholding

The contracting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor under this contract, or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the contracting agency may, after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

3. Payrolls and basic records

a. Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-

Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.

b.(1) The contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the contracting agency. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under 29 CFR 5.5(a)(3)(i), except that full social security numbers and home addresses shall not be included on weekly transmittals. Instead the payrolls shall only need to include an individually identifying number for each employee (e.g., the last four digits of the employee's social security number). The required weekly payroll information may be submitted in any form desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division Web site at <http://www.dol.gov/esa/whd/forms/wh347instr.htm> or its successor site. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors. Contractors and subcontractors shall maintain the full social security number and current address of each covered worker, and shall provide them upon request to the contracting agency for transmission to the State DOT, the FHWA or the Wage and Hour Division of the Department of Labor for purposes of an investigation or audit of compliance with prevailing wage requirements. It is not a violation of this section for a prime contractor to require a subcontractor to provide addresses and social security numbers to the prime contractor for its own records, without weekly submission to the contracting agency..

(2) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:

(i) That the payroll for the payroll period contains the information required to be provided under §5.5 (a)(3)(ii) of Regulations, 29 CFR part 5, the appropriate information is being maintained under §5.5 (a)(3)(i) of Regulations, 29 CFR part 5, and that such information is correct and complete;

(ii) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in Regulations, 29 CFR part 3;

(iii) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.

(3) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 3.b.(2) of this section.

(4) The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under section 1001 of title 18 and section 231 of title 31 of the United States Code.

c. The contractor or subcontractor shall make the records required under paragraph 3.a. of this section available for inspection, copying, or transcription by authorized representatives of the contracting agency, the State DOT, the FHWA, or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the FHWA may, after written notice to the contractor, the contracting agency or the State DOT, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

4. Apprentices and trainees

a. Apprentices (programs of the USDOL).

Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship Training, Employer and Labor Services, or with a State Apprenticeship Agency recognized by the Office, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Office of Apprenticeship Training, Employer and Labor Services or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice.

The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the contractor's or subcontractor's registered program shall be observed.

Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeymen hourly

rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination.

In the event the Office of Apprenticeship Training, Employer and Labor Services, or a State Apprenticeship Agency recognized by the Office, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

b. Trainees (programs of the USDOL).

Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration.

The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration.

Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed.

In the event the Employment and Training Administration withdraws approval of a training program, the contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

c. Equal employment opportunity. The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR part 30.

d. Apprentices and Trainees (programs of the U.S. DOT).

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not be greater than permitted by the terms of the particular program.

5. Compliance with Copeland Act requirements. The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract.

6. Subcontracts. The contractor or subcontractor shall insert Form FHWA-1273 in any subcontracts and also require the subcontractors to include Form FHWA-1273 in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.

7. Contract termination: debarment. A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.

8. Compliance with Davis-Bacon and Related Act requirements. All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract.

9. Disputes concerning labor standards. Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

10. Certification of eligibility.

a. By entering into this contract, the contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

b. No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

c. The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

V. CONTRACT WORK HOURS AND SAFETY STANDARDS ACT

The following clauses apply to any Federal-aid construction contract in an amount in excess of \$100,000 and subject to the overtime provisions of the Contract Work Hours and Safety Standards Act. These clauses shall be inserted in addition to the clauses required by 29 CFR 5.5(a) or 29 CFR 4.6. As used in this paragraph, the terms laborers and mechanics include watchmen and guards.

1. Overtime requirements. No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.

2. Violation; liability for unpaid wages; liquidated damages. In the event of any violation of the clause set forth in paragraph (1.) of this section, the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph (1.) of this section, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph (1.) of this section.

3. Withholding for unpaid wages and liquidated damages. The FHWA or the contracting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph (2.) of this section.

4. Subcontracts. The contractor or subcontractor shall insert in any subcontracts the clauses set forth in paragraph (1.) through (4.) of this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs (1.) through (4.) of this section.

VI. SUBLETTING OR ASSIGNING THE CONTRACT

This provision is applicable to all Federal-aid construction contracts on the National Highway System.

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the contracting agency. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635.116).

a. The term "perform work with its own organization" refers to workers employed or leased by the prime contractor, and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor or lower tier subcontractor, agents of the prime contractor, or any other assignees. The term may include payments for the costs of hiring leased employees from an employee leasing firm meeting all relevant Federal and State regulatory requirements. Leased employees may only be included in this term if the prime contractor meets all of the following conditions:

- (1) the prime contractor maintains control over the supervision of the day-to-day activities of the leased employees;
- (2) the prime contractor remains responsible for the quality of the work of the leased employees;
- (3) the prime contractor retains all power to accept or exclude individual employees from work on the project; and
- (4) the prime contractor remains ultimately responsible for the payment of predetermined minimum wages, the submission of payrolls, statements of compliance and all other Federal regulatory requirements.

b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid or propose on the contract as a whole and in general are to be limited to minor components of the overall contract.

2. The contract amount upon which the requirements set forth in paragraph (1) of Section VI is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.

3. The contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the contracting officer determines is necessary to assure the performance of the contract.

4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the contracting agency has assured that each subcontract is

evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract.

5. The 30% self-performance requirement of paragraph (1) is not applicable to design-build contracts; however, contracting agencies may establish their own self-performance requirements.

VII. SAFETY: ACCIDENT PREVENTION

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract.

2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704).

3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C.3704).

VIII. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, Form FHWA-1022 shall be posted on each Federal-aid highway project (23 CFR 635) in one or more places where it is readily available to all persons concerned with the project:

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 1, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined under this title or imprisoned not more than 5 years or both."

IX. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

By submission of this bid/proposal or the execution of this contract, or subcontract, as appropriate, the bidder, proposer, Federal-aid construction contractor, or subcontractor, as appropriate, will be deemed to have stipulated as follows:

1. That any person who is or will be utilized in the performance of this contract is not prohibited from receiving an award due to a violation of Section 508 of the Clean Water Act or Section 306 of the Clean Air Act.
2. That the contractor agrees to include or cause to be included the requirements of paragraph (1) of this Section X in every subcontract, and further agrees to take such action as the contracting agency may direct as a means of enforcing such requirements.

X. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, consultant contracts or any other covered transaction requiring FHWA approval or that is estimated to cost \$25,000 or more – as defined in 2 CFR Parts 180 and 1200.

1. Instructions for Certification – First Tier Participants:

- a. By signing and submitting this proposal, the prospective first tier participant is providing the certification set out below.
- b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this

covered transaction. The prospective first tier participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective first tier participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction.

c. The certification in this clause is a material representation of fact upon which reliance was placed when the contracting agency determined to enter into this transaction. If it is later determined that the prospective participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the contracting agency may terminate this transaction for cause of default.

d. The prospective first tier participant shall provide immediate written notice to the contracting agency to whom this proposal is submitted if any time the prospective first tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

e. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180 and 1200. "First Tier Covered Transactions" refers to any covered transaction between a grantee or subgrantee of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a grantee or subgrantee of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

f. The prospective first tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.

g. The prospective first tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions," provided by the department or contracting agency, entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold.

h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (<https://www.epls.gov/>), which is compiled by the General Services Administration.

i. Nothing contained in the foregoing shall be construed to require the establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of the prospective participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

j. Except for transactions authorized under paragraph (f) of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

2. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – First Tier Participants:

a. The prospective first tier participant certifies to the best of its knowledge and belief, that it and its principals:

(1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency;

(2) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(3) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (a)(2) of this certification; and

(4) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

b. Where the prospective participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

2. Instructions for Certification - Lower Tier Participants:

(Applicable to all subcontracts, purchase orders and other lower tier transactions requiring prior FHWA approval or estimated to cost \$25,000 or more - 2 CFR Parts 180 and 1200)

a. By signing and submitting this proposal, the prospective lower tier is providing the certification set out below.

b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which

this transaction originated may pursue available remedies, including suspension and/or debarment.

c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances.

d. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180 and 1200. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations. "First Tier Covered Transactions" refers to any covered transaction between a grantee or subgrantee of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a grantee or subgrantee of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold.

g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (<https://www.epls.gov/>), which is compiled by the General Services Administration.

h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the

department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

* * * * *

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Participants:

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency.

2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

* * * * *

XI. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000 (49 CFR 20).

1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

3. The prospective participant also agrees by submitting its bid or proposal that the participant shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.

**ATTACHMENT A - EMPLOYMENT AND MATERIALS
PREFERENCE FOR APPALACHIAN DEVELOPMENT
HIGHWAY SYSTEM OR APPALACHIAN LOCAL ACCESS
ROAD CONTRACTS**

This provision is applicable to all Federal-aid projects funded under the Appalachian Regional Development Act of 1965.

1. During the performance of this contract, the contractor undertaking to do work which is, or reasonably may be, done as on-site work, shall give preference to qualified persons who regularly reside in the labor area as designated by the DOL wherein the contract work is situated, or the subregion, or the Appalachian counties of the State wherein the contract work is situated, except:

a. To the extent that qualified persons regularly residing in the area are not available.

b. For the reasonable needs of the contractor to employ supervisory or specially experienced personnel necessary to assure an efficient execution of the contract work.

c. For the obligation of the contractor to offer employment to present or former employees as the result of a lawful collective bargaining contract, provided that the number of nonresident persons employed under this subparagraph (1c) shall not exceed 20 percent of the total number of employees employed by the contractor on the contract work, except as provided in subparagraph (4) below.

2. The contractor shall place a job order with the State Employment Service indicating (a) the classifications of the laborers, mechanics and other employees required to perform the contract work, (b) the number of employees required in each classification, (c) the date on which the participant estimates such employees will be required, and (d) any other pertinent information required by the State Employment Service to complete the job order form. The job order may be placed with the State Employment Service in writing or by telephone. If during the course of the contract work, the information submitted by the contractor in the original job order is substantially modified, the participant shall promptly notify the State Employment Service.

3. The contractor shall give full consideration to all qualified job applicants referred to him by the State Employment Service. The contractor is not required to grant employment to any job applicants who, in his opinion, are not qualified to perform the classification of work required.

4. If, within one week following the placing of a job order by the contractor with the State Employment Service, the State Employment Service is unable to refer any qualified job applicants to the contractor, or less than the number requested, the State Employment Service will forward a certificate to the contractor indicating the unavailability of applicants. Such certificate shall be made a part of the contractor's permanent project records. Upon receipt of this certificate, the contractor may employ persons who do not normally reside in the labor area to fill positions covered by the certificate, notwithstanding the provisions of subparagraph (1c) above.

5. The provisions of 23 CFR 633.207(e) allow the contracting agency to provide a contractual preference for the use of mineral resource materials native to the Appalachian region.

6. The contractor shall include the provisions of Sections 1 through 4 of this Attachment A in every subcontract for work which is, or reasonably may be, done as on-site work.

**KENTUCKY TRANSPORTATION CABINET
DEPARTMENT OF HIGHWAYS**

**EMPLOYMENT REQUIREMENTS
RELATING TO
NONDISCRIMINATION OF EMPLOYEES
(APPLICABLE TO FEDERAL-AID SYSTEM CONTRACTS)**

**AN ACT OF THE KENTUCKY GENERAL ASSEMBLY
TO PREVENT DISCRIMINATION IN EMPLOYMENT**

**KRS CHAPTER 344
EFFECTIVE JUNE 16, 1972**

The contract on this project, in accordance with KRS Chapter 344, provides that during the performance of this contract, the contractor agrees as follows:

1. The contractor shall not fail or refuse to hire, or shall not discharge any individual, or otherwise discriminate against an individual with respect to his compensation, terms, conditions, or privileges of employment, because of such individual's race, color, religion, national origin, sex, disability or age (forty and above); or limit, segregate, or classify his employees in any way which would deprive or tend to deprive an individual of employment opportunities or otherwise adversely affect his status as an employee, because of such individual's race, color, religion, national origin, sex, disability or age forty (40) and over. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.

2. The contractor shall not print or publish or cause to be printed or published a notice or advertisement relating to employment by such an employer or membership in or any classification or referral for employment by the employment agency, indicating any preference, limitation, specification, or discrimination, based on race, color, religion, national origin, sex, or age forty (40) and over, or because the person is a qualified individual with a disability, except that such a notice or advertisement may indicate a preference, limitation, or specification based on religion, national origin, sex, or age forty (40) and over, or because the person is a qualified individual with a disability, when religion, national origin, sex, or age forty (40) and over, or because the person is a qualified individual with a disability, is a bona fide occupational qualification for employment.

3. If the contractor is in control of apprenticeship or other training or retraining, including on-the-job training programs, he shall not discriminate against an individual because of his race, color, religion, national origin, sex, disability or age forty (40) and over, in admission to, or employment in any program established to provide apprenticeship or other training.

4. The contractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice to be provided advising the said labor union or workers' representative of the contractor's commitments under this section, and shall post copies of the notice in conspicuous places available to employees and applicants for employment. The contractor will take such action with respect to any subcontract or purchase order as the administering agency may direct as a means of enforcing such provisions, including sanctions for non-compliance.

Revised: January 25, 2017

Standard Title VI/Non-Discrimination Assurances

During the performance of this contract, the contractor, for itself, its assignees, and successors in interest (hereinafter referred to as the “contractor”) agrees as follows:

1. **Compliance with Regulations:** The contractor (hereinafter includes consultants) will comply with the Acts and the Regulations relative to Non-discrimination in Federally-assisted programs of the U.S. Department of Transportation, **Federal Highway Administration**, as they may be amended from time to time, which are herein incorporated by reference and made a part of this contract.
2. **Non-discrimination:** The contractor, with regard to the work performed by it during the contract, will not discriminate on the grounds of race, color, or national origin in the selection and retention of subcontractors, including procurements of materials and leases of equipment. The contractor will not participate directly or indirectly in the discrimination prohibited by the Acts and the Regulations, including employment practices when the contract covers any activity, project, or program set forth in Appendix B of 49 CFR Part 21.
3. **Solicitations for Subcontracts, Including Procurements of Materials and Equipment:** In all solicitations, either by competitive bidding, or negotiation made by the contractor for work to be performed under a subcontract, including procurements of materials, or leases of equipment, each potential subcontractor or supplier will be notified by the contractor of the contractor’s obligations under this contract and the Acts and the Regulations relative to Non-discrimination on the grounds of race, color, or national origin.
4. **Information and Reports:** The contractor will provide all information and reports required by the Acts, the Regulations, and directives issued pursuant thereto and will permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by the Recipient or the **Federal Highway Administration** to be pertinent to ascertain compliance with such Acts, Regulations, and instructions. Where any information required of a contractor is in the exclusive possession of another who fails or refuses to furnish the information, the contractor will so certify to the Recipient or the **Federal Highway Administration**, as appropriate, and will set forth what efforts it has made to obtain the information.
5. **Sanctions for Noncompliance:** In the event of a contractor’s noncompliance with the Non-discrimination provisions of this contract, the Recipient will impose such contract sanctions as it or the **Federal Highway Administration** may determine to be appropriate, including, but not limited to:
 - a. withholding payments to the contractor under the contract until the contractor complies; and/or
 - b. cancelling, terminating, or suspending a contract, in whole or in part.
6. **Incorporation of Provisions:** The contractor will include the provisions of paragraphs one through six in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Acts, the Regulations and directives issued pursuant thereto. The contractor will take action with respect to any subcontract or procurement as the Recipient or the **Federal Highway Administration** may direct as a means of enforcing such provisions including sanctions for noncompliance. Provided, that if the contractor becomes involved in, or is threatened with litigation by a subcontractor, or supplier because of such direction, the contractor may request the Recipient to enter into any litigation to protect the interests of the Recipient. In addition, the contractor may request the United States to enter into the litigation to protect the interests of the United States.

Standard Title VI/Non-Discrimination Statutes and Authorities

During the performance of this contract, the contractor, for itself, its assignees, and successors in interest (hereinafter referred to as the “contractor”) agrees to comply with the following non-discrimination statutes and authorities; including but not limited to:

- Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d *et seq.*, 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin); and 49 CFR Part 21;
- The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, (42 U.S.C. § 4601), (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects);
- Federal-Aid Highway Act of 1973, (23 U.S.C. § 324 *et seq.*), (prohibits discrimination on the basis of sex);
- Section 504 of the Rehabilitation Act of 1973, (29 U.S.C. § 794 *et seq.*), as amended, (prohibits discrimination on the basis of disability); and 49 CFR Part 27;
- The Age Discrimination Act of 1975, as amended, (42 U.S.C. § 6101 *et seq.*), (prohibits discrimination on the basis of age);
- Airport and Airway Improvement Act of 1982, (49 USC § 471, Section 47123), as amended, (prohibits discrimination based on race, creed, color, national origin, or sex);
- The Civil Rights Restoration Act of 1987, (PL 100-209), (Broadened the scope, coverage and applicability of Title VI of the Civil Rights Act of 1964, The Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms “programs or activities” to include all of the programs or activities of the Federal-aid recipients, sub-recipients and contractors, whether such programs or activities are Federally funded or not);
- Titles II and III of the Americans with Disabilities Act, which prohibit discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities (42 U.S.C. §§ 12131 -- 12189) as implemented by Department of Transportation regulations at 49 C.F.R. parts 37 and 38;
- The Federal Aviation Administration’s Non-discrimination statute (49 U.S.C. § 47123) (prohibits discrimination on the basis of race, color, national origin, and sex);
- Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations, which ensures non-discrimination against minority populations by discouraging programs, policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations;
- Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency, and resulting agency guidance, national origin discrimination includes discrimination because of limited English proficiency (LEP). To ensure compliance with Title VI, you must take reasonable steps to ensure that LEP persons have meaningful access to your programs (70 Fed. Reg. at 74087 to 74100);
- Title IX of the Education Amendments of 1972, as amended, which prohibits you from discriminating because of sex in education programs or activities (20 U.S.C. 1681 *et seq.*).

EXECUTIVE BRANCH CODE OF ETHICS

In the 1992 regular legislative session, the General Assembly passed and Governor Brereton Jones signed Senate Bill 63 (codified as KRS 11A), the Executive Branch Code of Ethics, which states, in part:

KRS 11A.040 (7) provides:

No present or former public servant shall, within six (6) months following termination of his office or employment, accept employment, compensation, or other economic benefit from any person or business that contracts or does business with, or is regulated by, the state in matters in which he was directly involved during the last thirty-six (36) months of his tenure. This provision shall not prohibit an individual from returning to the same business, firm, occupation, or profession in which he was involved prior to taking office or beginning his term of employment, or for which he received, prior to his state employment, a professional degree or license, provided that, for a period of six (6) months, he personally refrains from working on any matter in which he was directly involved during the last thirty-six (36) months of his tenure in state government. This subsection shall not prohibit the performance of ministerial functions, including but not limited to filing tax returns, filing applications for permits or licenses, or filing incorporation papers, nor shall it prohibit the former officer or public servant from receiving public funds disbursed through entitlement programs.

KRS 11A.040 (9) states:

A former public servant shall not represent a person or business before a state agency in a matter in which the former public servant was directly involved during the last thirty-six (36) months of his tenure, for a period of one (1) year after the latter of:

- a) The date of leaving office or termination of employment; or
- b) The date the term of office expires to which the public servant was elected.

This law is intended to promote public confidence in the integrity of state government and to declare as public policy the idea that state employees should view their work as a public trust and not as a way to obtain private benefits.

If you have worked for the executive branch of state government within the past six months, you may be subject to the law's prohibitions. The law's applicability may be different if you hold elected office or are contemplating representation of another before a state agency.

Also, if you are affiliated with a firm which does business with the state and which employs former state executive-branch employees, you should be aware that the law may apply to them.

In case of doubt, the law permits you to request an advisory opinion from the Executive Branch Ethics Commission, 3 Fountain Place, Frankfort, Kentucky 40601; telephone (502) 564-7954.

Revised: January 27, 2017

"General Decision Number: KY20190038 09/27/2019

Superseded General Decision Number: KY20180100

State: Kentucky

Construction Type: Highway

Counties: Anderson, Bath, Bourbon, Boyd, Boyle, Bracken,
Breckinridge, Bullitt, Carroll, Carter, Clark, Elliott,
Fayette, Fleming, Franklin, Gallatin, Grant, Grayson, Greenup,
Hardin, Harrison, Henry, Jefferson, Jessamine, Larue, Lewis,
Madison, Marion, Mason, Meade, Mercer, Montgomery, Nelson,
Nicholas, Oldham, Owen, Robertson, Rowan, Scott, Shelby,
Spencer, Trimble, Washington and Woodford Counties in Kentucky.

HIGHWAY CONSTRUCTION PROJECTS (excluding tunnels, building
structures in rest area projects & railroad construction;
bascule, suspension & spandrel arch bridges designed for
commercial navigation, bridges involving marine construction;
and other major bridges).

Note: Under Executive Order (EO) 13658, an hourly minimum wage
of \$10.60 for calendar year 2019 applies to all contracts
subject to the Davis-Bacon Act for which the contract is
awarded (and any solicitation was issued) on or after January
1, 2015. If this contract is covered by the EO, the contractor
must pay all workers in any classification listed on this wage
determination at least \$10.60 per hour (or the applicable wage
rate listed on this wage determination, if it is higher) for
all hours spent performing on the contract in calendar year
2019. If this contract is covered by the EO and a
classification considered necessary for performance of work on
the contract does not appear on this wage determination, the
contractor must pay workers in that classification at least the
wage rate determined through the conformance process set forth

ROWAN COUNTY

HSIP:0646 (066) FR 5.5(a) (1) (ii) (or the EO minimum wage rate, if it is higher than the conformed wage rate). The EO minimum wage rate will be adjusted annually. Please note that this EO applies to the above-mentioned types of contracts entered into by the federal government that are subject to the Davis-Bacon Act itself, but it does not apply to contracts subject only to the Davis-Bacon Related Acts, including those set forth at 29 CFR 5.1(a) (2)-(60). Additional information on contractor requirements and worker protections under the EO is available at www.dol.gov/whd/govcontracts.

| Modification Number | Publication Date |
|---------------------|------------------|
| 0 | 01/04/2019 |
| 1 | 02/15/2019 |
| 2 | 09/27/2019 |

BRIN0004-003 06/01/2017

BRECKENRIDGE COUNTY

| | Rates | Fringes |
|-----------------|----------|---------|
| BRICKLAYER..... | \$ 26.80 | 12.38 |
| ----- | | |

BRKY0001-005 06/01/2017

BULLITT, CARROLL, GRAYSON, HARDIN, HENRY, JEFFERSON, LARUE, MARION, MEADE, NELSON, OLDHAM, SHELBY, SPENCER, & TRIMBLE COUNTIES:

| | Rates | Fringes |
|-----------------|----------|---------|
| BRICKLAYER..... | \$ 26.80 | 12.38 |
| ----- | | |

BRKY0002-006 06/01/2017

BRACKEN, GALLATIN, GRANT, MASON & ROBERTSON COUNTIES:

| | Rates | Fringes |
|--|-------|---------|
|--|-------|---------|

BRICKLAYER.....\$ 27.81 13.01

BRKY0007-004 06/01/2017

BOYD, CARTER, ELLIOT, FLEMING, GREENUP, LEWIS & ROWAN COUNTIES:

Rates Fringes

BRICKLAYER.....\$ 32.98 19.02

BRKY0017-004 06/01/2017

ANDERSON, BATH, BOURBON, BOYLE, CLARK, FAYETTE, FRANKLIN,
HARRISON, JESSAMINE, MADISON, MERCER, MONTGOMERY, NICHOLAS,
OWEN, SCOTT, WASHINGTON & WOODFORD COUNTIES:

Rates Fringes

BRICKLAYER.....\$ 26.47 12.76

CARP0064-001 05/01/2015

Rates Fringes

CARPENTER.....\$ 27.50 16.06

Diver.....\$ 41.63 16.06

PILEDRIVERMAN.....\$ 27.75 16.06

ELEC0212-008 06/04/2018

BRACKEN, GALLATIN and GRANT COUNTIES

Rates Fringes

ELECTRICIAN.....\$ 28.39 18.98

ELEC0212-014 11/26/2018

BRACKEN, GALLATIN & GRANT COUNTIES:

Rates Fringes

Sound & Communication

Technician.....\$ 24.35 10.99

* ELEC0317-012 06/01/2019

BOYD, CARTER, ELLIOT & ROWAN COUNTIES:

Rates Fringes

ELECTRICIAN (Wiremen)

Electrician.....\$ 34.35 25.70

* ELEC0369-007 05/28/2019

ANDERSON, BATH, BOURBON, BOYLE, BRECKINRIDGE, BULLITT, CARROLL,
CLARK, FAYETTE, FRAONKLIN, GRAYSON, HARDIN, HARRISON, HENRY,
JEFFERSON, JESSAMINE, LARUE, MADISON, MARION, MEADE, MERCER,
MONTGOMERY, NELSON, NICHOLAS, OLDHAM, OWEN, ROBERTSON, SCOTT,
SHELBY, SPENCER, TRIMBLE, WASHINGTON, & WOODFORD COUNTIES:

Rates Fringes

ELECTRICIAN.....\$ 32.44 17.22

ELEC0575-002 12/31/2018

FLEMING, GREENUP, LEWIS & MASON COUNTIES:

Rates Fringes

ELECTRICIAN.....\$ 32.75 16.69

* ENGI0181-018 07/01/2019

Rates Fringes

POWER EQUIPMENT OPERATOR

ROWAN COUNTY

| | | |
|----------------------------|----------|-------|
| HSIP 0646 (000) DUP 1..... | \$ 33.30 | 16.50 |
| GROUP 2..... | \$ 30.44 | 16.50 |
| GROUP 3..... | \$ 30.89 | 16.50 |
| GROUP 4..... | \$ 30.12 | 16.50 |

OPERATING ENGINEER CLASSIFICATIONS

GROUP 1 - A-Frame Winch Truck; Auto Patrol; Backfiller; Batcher Plant; Bituminous Paver; Bituminous Transfer Machine; Boom Cat; Bulldozer; Mechanic; Cableway; Carry-All Scoop; Carry Deck Crane; Central Compressor Plant; Cherry Picker; Clamshell; Concrete Mixer (21 cu. ft. or Over); Concrete Paver; Truck-Mounted Concrete Pump; Core Drill; Crane; Crusher Plant; Derrick; Derrick Boat; Ditching & Trenching Machine; Dragline; Dredge Operator; Dredge Engineer; Elevating Grader & Loaders; Grade-All; Gurries; Heavy Equipment Robotics Operator/Mechanic; High Lift; Hoe-Type Machine; Hoist (Two or More Drums); Hoisting Engine (Two or More Drums); Horizontal Directional Drill Operator; Hydrocrane; Hyster; KeCal Loader; LeTourneau; Locomotive; Mechanic; Mechanically Operated Laser Screed; Mechanic Welder; Mucking Machine; Motor Scraper; Orangepeel Bucket; Overhead Crane; Piledriver; Power Blade; Pumpcrete; Push Dozer; Rock Spreader, attached to equipment; Rotary Drill; Roller (Bituminous); Rough Terrain Crane; Scarifier; Scoopmobile; Shovel; Side Boom; Subgrader; Tailboom; Telescoping Type Forklift; Tow or Push Boat; Tower Crane (French, German & other types); Tractor Shovel; Truck Crane; Tunnel Mining Machines, including Moles, Shields or similar types of Tunnel Mining Equipment

GROUP 2 - Air Compressor (Over 900 cu. ft. per min.); Bituminous Mixer; Boom Type Tamping Machine; Bull Float; Concrete Mixer (Under 21 cu. ft.); Dredge Engineer; Electric Vibrator; Compactor/Self-Propelled Compactor; Elevator (One Drum or Buck Hoist); Elevator (When used to Hoist Building Material); Finish Machine; Firemen & Hoist (One Drum); Flexplane; Forklift (Regardless of Lift Height); Form Grader; Joint Sealing Machine; Outboard Motor Boat; Power Sweeper (Riding Type); Roller (Rock); Ross Carrier; Skid Mounted or Trailer Mounted Concrete Pump; Skid

ROWAN COUNTY

HSIP 0646 (06) Machine with all Attachments; Switchman or Brakeman;

Throttle Valve Person; Tractair & Road Widening Trencher;
Tractor (50 H.P. or Over); Truck Crane Oiler; Tugger;
Welding Machine; Well Points; & Whirley Oiler

GROUP 3 - All Off Road Material Handling Equipment,
including Articulating Dump Trucks; Greaser on Grease
Facilities servicing Heavy Equipment

GROUP 4 - Bituminous Distributor; Burlap & Curing Machine;
Cement Gun; Concrete Saw; Conveyor; Deckhand Oiler; Grout
Pump; Hydraulic Post Driver; Hydro Seeder; Mud Jack; Oiler;
Paving Joint Machine; Power Form Handling Equipment; Pump;
Roller (Earth); Steerman; Tamping Machine; Tractor (Under
50 H.P.); & Vibrator

CRANES - with booms 150 ft. & Over (Including JIB), and where
the length of the boom in combination with the length of
the piling leads equals or exceeds 150 ft. - \$1.00 over
Group 1 rate

EMPLOYEES ASSIGNED TO WORK BELOW GROUND LEVEL ARE TO BE PAID
10%

ABOVE BASIC WAGE RATE. THIS DOES NOT APPLY TO OPEN CUT WORK.

* IRON0044-009 06/01/2019

BRACKEN, GALLATIN, GRANT, HARRISON, ROBERTSON,
BOURBON (Northern third, including Townships of Jackson,
Millersburg, Ruddel Mills & Shawhan);
CARROLL (Eastern third, including the Township of Ghent);
FLEMING (Western part, excluding Townships of Beechburg, Colfax,
Elizaville, Flemingsburg, Flemingsburg Junction, Foxport,
Grange City, Hillsboro, Hilltop, Mount Carmel, Muses Mills,
Nepton, Pecksridge, Plummers Landing, Plummers Mill, Poplar
Plains, Ringos Mills, Tilton & Wallingford);
MASON (Western two-thirds, including Townships of Dover,
Lewisburg, Mays Lick, Maysville, Minerva, Moranburg,
Murphysville, Ripley, Sardis, Shannon, South Ripley &
Washington);

ROWAN COUNTY
HSIP 0646 (066) (Townships of Barefoot, Barterville, Carlisle,

Ellisville, Headquarters, Henryville, Morningglory, Myers & Oakland Mills);

OWEN (Townships of Beechwood, Bromley, Fairbanks, Holbrook, Jonesville, Long Ridge, Lusby's Mill, New, New Columbus, New Liberty, Owenton, Poplar Grove, Rockdale, Sanders, Teresita & Wheatley);

SCOTT (Northern two-thirds, including Townships of Biddle, Davis, Delaplain, Elmville, Longlick, Muddy Ford, Oxford, Rogers Gap, Sadieville, Skinnersburg & Stonewall)

Rates Fringes

IRONWORKER

| | | |
|--------------------|----------|-------|
| Fence Erector..... | \$ 28.00 | 21.20 |
| Structural..... | \$ 29.47 | 21.20 |

* IRON0070-006 06/01/2019

ANDERSON, BOYLE, BRECKINRIDGE, BULLITT, FAYETTE, FRANKLIN, GRAYSON, HARDIN, HENRY, JEFFERSON, JESSAMINE, LARUE, MADISON, MARION, MEADE, MERCER, NELSON, OLDHAM, SHELBY, SPENCER, TRIMBLE, WASHINGTON & WOODFORD

BOURBON (Southern two-thirds, including Townships of Austerlity, Centerville, Clintonville, Elizabeth, Hutchison, Littlerock, North Middletown & Paris);

CARROLL (Western two-thirds, including Townships of Carrollton, Easterday, English, Locust, Louis, Prestonville & Worthville);

CLARK (Western two-thirds, including Townships of Becknerville, Flanagan, Ford, Pine Grove, Winchester & Wyandotte);

OWEN (Eastern eighth, including Townships of Glenmary, Gratz, Monterey, Perry Park & Tacketts Mill);

SCOTT (Southern third, including Townships of Georgetown, Great Crossing, Newtown, Stampling Ground & Woodlake);

Rates Fringes

| | | |
|-----------------|----------|-------|
| IRONWORKER..... | \$ 29.68 | 22.75 |
|-----------------|----------|-------|

BATH, BOYD, CARTER, ELLIOTT, GREENUP, LEWIS, MONTGOMERY & ROWAN
CLARK (Eastern third, including townships of Bloomingdale,
Hunt, Indian Fields, Kiddville, Loglick, Rightangele & Thomson);
FLEMING (Townships of Beechburg, Colfax, Elizaville,
Flemingsburg, Flemingsburg Junction, Foxport, Grange City,
Hillsboro, Hilltop, Mount Carmel, Muses Mills, Nepton,
Pecksridge, Plummers Landing, Plummers Mill, Poplar Plains,
Ringos Mills, Tilton & Wallingford);
MASON (Eastern third, including Townships of Helena, Marshall,
Orangeburg, Plumville & Springdale);
NICHOLAS (Eastern eighth, including the Township of Moorefield
Sprout)

Rates Fringes

IRONWORKER

| | | |
|-------------|----------|-------|
| ZONE 1..... | \$ 32.00 | 25.95 |
| ZONE 2..... | \$ 32.40 | 25.95 |
| ZONE 3..... | \$ 34.00 | 25.95 |

ZONE 1 - (no base rate increase) Up to 10 mile radius of
Union Hall, 1643 Greenup Ave, Ashland, KY.

ZONE 2 - (add \$0.40 per hour to base rate) 10 to 50 mile
radius of Union Hall, 1643 Greenup Ave, Ashland, KY.

ZONE 3 - (add \$2.00 per hour to base rate) 50 mile radius &
over of Union Hall, 1643 Greenup Ave, Ashland, KY.

LABO0189-003 07/01/2018

BATH, BOURBON, BOYD, BOYLE, BRACKEN, CARTER, CLARK, ELLIOTT,
FAYETTE, FLEMING, FRANKLIN, GALLATIN, GRANT, GREENUP, HARRISON,
JESSAMINE, LEWIS, MADISON, MASON, MERCER, MONTGOMERY, NICHOLAS,
OWEN, ROBERTSON, ROWAN, SCOTT, & WOOLFORD COUNTIES

Laborers:

| | | |
|--------------|----------|-------|
| GROUP 1..... | \$ 23.07 | 14.21 |
| GROUP 2..... | \$ 23.32 | 14.21 |
| GROUP 3..... | \$ 23.37 | 14.21 |
| GROUP 4..... | \$ 23.97 | 14.21 |

LABORERS CLASSIFICATIONS

GROUP 1 - Aging & Curing of Concrete; Asbestos Abatement Worker; Asphalt Plant; Asphalt; Batch Truck Dump; Carpenter Tender; Cement Mason Tender; Cleaning of Machines; Concrete; Demolition; Dredging; Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Level D; Flagperson; Grade Checker; Hand Digging & Hand Back Filling; Highway Marker Placer; Landscaping, Mesh Handler & Placer; Puddler; Railroad; Rip-rap & Grouter; Right-of-Way; Sign, Guard Rail & Fence Installer; Signal Person; Sound Barrier Installer; Storm & Sanitary Sewer; Swamper; Truck Spotter & Dumper; Wrecking of Concrete Forms; General Cleanup

GROUP 2 - Batter Board Man (Sanitary & Storm Sewer); Brickmason Tender; Mortar Mixer Operator; Scaffold Builder; Burner & Welder; Bushammer; Chain Saw Operator; Concrete Saw Operator; Deckhand Scow Man; Dry Cement Handler; Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Level C; Forklift Operator for Masonary; Form Setter; Green Concrete Cutting; Hand Operated Grouter & Grinder Machine Operator; Jackhammer; Pavement Breaker; Paving Joint Machine; Pipelayer; Plastic Pipe Fusion; Power Driven Georgia Buggy & Wheel Barrow; Power Post Hole Digger; Precast Manhole Setter; Walk-Behind Tamper; Walk-Behind Trencher; Sand Blaster; Concrete Chipper; Surface Grinder; Vibrator Operator; Wagon Driller

GROUP 3 - Asphalt Luteman & Raker; Gunnite Nozzleman; Gunnite Operator & Mixer; Grout Pump Operator; Side Rail Setter; Rail Paved Ditches; Screw Operator; Tunnel (Free Air); Water Blaster

ROWAN COUNTY
HSIP 0648 (068) 4 - Caisson Worker (Free Air); Cement Finisher;

Environmental - Nuclear, Radiation, Toxic & Hazardous Waste
- Levels A & B; Miner & Driller (Free Air); Tunnel Blaster;
& Tunnel Mucker (Free Air); Directional & Horizontal
Boring; Air Track Drillers (All Types); Powdermen &
Blasters; Troxler & Concrete Tester if Laborer is Utilized

LABO0189-008 07/01/2018

ANDERSON, BULLITT, CARROLL, HARDIN, HENRY, JEFFERSON, LARUE,
MARION, MEADE, NELSON, OLDHAM, SHELBY, SPENCER, TRIMBLE &
WASHINGTON COUNTIES

Rates Fringes

Laborers:

| | | |
|--------------|----------|-------|
| GROUP 1..... | \$ 23.07 | 14.21 |
| GROUP 2..... | \$ 23.32 | 14.21 |
| GROUP 3..... | \$ 23.37 | 14.21 |
| GROUP 4..... | \$ 23.97 | 14.21 |

LABORERS CLASSIFICATIONS

GROUP 1 - Aging & Curing of Concrete; Asbestos Abatement
Worker; Asphalt Plant; Asphalt; Batch Truck Dump; Carpenter
Tender; Cement Mason Tender; Cleaning of Machines;
Concrete; Demolition; Dredging; Environmental - Nuclear,
Radiation, Toxic & Hazardous Waste - Level D; Flagperson;
Grade Checker; Hand Digging & Hand Back Filling; Highway
Marker Placer; Landscaping, Mesh Handler & Placer; Puddler;
Railroad; Rip-rap & Grouter; Right-of-Way; Sign, Guard Rail
& Fence Installer; Signal Person; Sound Barrier Installer;
Storm & Sanitary Sewer; Swamper; Truck Spotter & Dumper;
Wrecking of Concrete Forms; General Cleanup

GROUP 2 - Batter Board Man (Sanitary & Storm Sewer);
Brickmason Tender; Mortar Mixer Operator; Scaffold Builder;
Burner & Welder; Bushhammer; Chain Saw Operator; Concrete
Saw Operator; Deckhand Scow Man; Dry Cement Handler;

ROWAN COUNTY
HSIP 0646 (066) Environmental - Nuclear, Radiation, Toxic & Hazardous Waste

- Level C; Forklift Operator for Masonary; Form Setter;
Green Concrete Cutting; Hand Operated Grouter & Grinder
Machine Operator; Jackhammer; Pavement Breaker; Paving
Joint Machine; Pipelayer; Plastic Pipe Fusion; Power Driven
Georgia Buggy & Wheel Barrow; Power Post Hole Digger;
Precast Manhole Setter; Walk-Behind Tamper; Walk-Behind
Trencher; Sand Blaster; Concrete Chipper; Surface Grinder;
Vibrator Operator; Wagon Driller

GROUP 3 - Asphalt Luteman & Raker; Gunnite Nozzleman;
Gunnite Operator & Mixer; Grout Pump Operator; Side Rail
Setter; Rail Paved Ditches; Screw Operator; Tunnel (Free
Air); Water Blaster

GROUP 4 - Caisson Worker (Free Air); Cement Finisher;
Environmental - Nuclear, Radiation, Toxic & Hazardous Waste
- Levels A & B; Miner & Driller (Free Air); Tunnel Blaster;
& Tunnel Mucker (Free Air); Directional & Horizontal
Boring; Air Track Drillers (All Types); Powdermen &
Blasters; Troxler & Concrete Tester if Laborer is Utilized

LABO0189-009 07/01/2018

BRECKINRIDGE & GRAYSON COUNTIES

Rates Fringes

Laborers:

| | | |
|--------------|----------|-------|
| GROUP 1..... | \$ 23.07 | 14.21 |
| GROUP 2..... | \$ 23.32 | 14.21 |
| GROUP 3..... | \$ 23.37 | 14.21 |
| GROUP 4..... | \$ 23.97 | 14.21 |

LABORERS CLASSIFICATIONS

GROUP 1 - Aging & Curing of Concrete; Asbestos Abatement
Worker; Asphalt Plant; Asphalt; Batch Truck Dump; Carpenter
Tender; Cement Mason Tender; Cleaning of Machines;
Concrete; Demolition; Dredging; Environmental - Nuclear,

ROWAN COUNTY

HSIP 0646 (066) Position, Toxic & Hazardous Waste - Level D; Flagperson;

Grade Checker; Hand Digging & Hand Back Filling; Highway
Marker Placer; Landscaping, Mesh Handler & Placer; Puddler;
Railroad; Rip-rap & Grouter; Right-of-Way; Sign, Guard Rail
& Fence Installer; Signal Person; Sound Barrier Installer;
Storm & Sanitary Sewer; Swamper; Truck Spotter & Dumper;
Wrecking of Concrete Forms; General Cleanup

GROUP 2 - Batter Board Man (Sanitary & Storm Sewer);
Brickmason Tender; Mortar Mixer Operator; Scaffold Builder;
Burner & Welder; Bushhammer; Chain Saw Operator; Concrete
Saw Operator; Deckhand Scow Man; Dry Cement Handler;
Environmental - Nuclear, Radiation, Toxic & Hazardous Waste
- Level C; Forklift Operator for Masonary; Form Setter;
Green Concrete Cutting; Hand Operated Grouter & Grinder
Machine Operator; Jackhammer; Pavement Breaker; Paving
Joint Machine; Pipelayer; Plastic Pipe Fusion; Power Driven
Georgia Buggy & Wheel Barrow; Power Post Hole Digger;
Precast Manhole Setter; Walk-Behind Tamper; Walk-Behind
Trencher; Sand Blaster; Concrete Chipper; Surface Grinder;
Vibrator Operator; Wagon Driller

GROUP 3 - Asphalt Luteman & Raker; Gunnite Nozzleman;
Gunnite Operator & Mixer; Grout Pump Operator; Side Rail
Setter; Rail Paved Ditches; Screw Operator; Tunnel (Free
Air); Water Blaster

GROUP 4 - Caisson Worker (Free Air); Cement Finisher;
Environmental - Nuclear, Radiation, Toxic & Hazardous Waste
- Levels A & B; Miner & Driller (Free Air); Tunnel Blaster;
& Tunnel Mucker (Free Air); Directional & Horizontal
Boring; Air Track Drillers (All Types); Powdermen &
Blasters; Troxler & Concrete Tester if Laborer is Utilized

PAIN0012-005 06/11/2005

BATH, BOURBON, BOYLE, CLARK, FAYETTE, FLEMING, FRANKLIN,
HARRISON, JESSAMINE, MADISON, MERCER, MONTGOMERY, NICHOLAS,
ROBERTSON, SCOTT & WOODFORD COUNTIES:

Rates Fringes

PAINTER

| | |
|--|------|
| Bridge/Equipment Tender and/or Containment Builder..\$ 18.90 | 5.90 |
| Brush & Roller.....\$ 21.30 | 5.90 |
| Elevated Tanks; Steeplejack Work; Bridge & Lead Abatement.....\$ 22.30 | 5.90 |
| Sandblasting & Waterblasting.....\$ 22.05 | 5.90 |
| Spray.....\$ 21.80 | 5.90 |

PAIN0012-017 05/01/2015

BRACKEN, GALLATIN, GRANT, MASON & OWEN COUNTIES:

Rates Fringes

PAINTER (Heavy & Highway
 Bridges - Guardrails -
 Lightpoles - Striping)

| | |
|--|------|
| Bridge Equipment Tender and Containment Builder.....\$ 20.73 | 9.06 |
| Brush & Roller.....\$ 23.39 | 9.06 |
| Elevated Tanks; Steeplejack Work; Bridge & Lead Abatement.....\$ 24.39 | 9.06 |
| Sandblasting & Water Blasting.....\$ 24.14 | 9.06 |
| Spray.....\$ 23.89 | 9.06 |

PAIN0118-004 06/01/2018

ANDERSON, BRECKINRIDGE, BULLITT, CARROLL, GRAYSON, HARDIN,
 HENRY, JEFFERSON, LARUE, MARION, MEADE, NELSON, OLDHAM, SHELBY,
 SPENCER, TRIMBLE & WASHINGTON COUNTIES:

Rates Fringes

PAINTER

| | | |
|---|----------|-------|
| Brush & Roller..... | \$ 22.00 | 12.52 |
| Spray, Sandblast, Power Tools, Waterblast & Steam Cleaning..... | \$ 23.00 | 12.52 |

PAIN1072-003 12/01/2018

BOYD, CARTER, ELLIOTT, GREENUP, LEWIS and ROWAN COUNTIES

Rates Fringes

Painters:

| | | |
|---|----------|-------|
| Bridges; Locks; Dams; Tension Towers & Energized Substations..... | \$ 33.33 | 18.50 |
| Power Generating Facilities. | \$ 30.09 | 18.50 |

PLUM0248-003 06/01/2018

BOYD, CARTER, ELLIOTT, GREENUP, LEWIS & ROWAN COUNTIES:

Rates Fringes

| | | |
|------------------------------|----------|-------|
| Plumber and Steamfitter..... | \$ 36.00 | 20.23 |
|------------------------------|----------|-------|

PLUM0392-007 06/01/2018

BRACKEN, CARROLL (Eastern Half), GALLATIN, GRANT, MASON, OWEN &
ROBERTSON COUNTIES:

Rates Fringes

| | | |
|-------------------------------|----------|-------|
| Plumbers and Pipefitters..... | \$ 32.01 | 19.67 |
|-------------------------------|----------|-------|

* PLUM0502-003 08/01/2019

BRECKINRIDGE, BULLITT, CARROLL (Western Half), FRANKLIN
(Western three-fourths), GRAYSON, HARDIN, HENRY, JEFFERSON,

ROWAN COUNTY
 HSIPL0646 (066) MARION, MEADE, NELSON, OLDHAM, SHELBY, SPENCER, TRIMBLE &
 WASHINGTON COUNTIES

| | Rates | Fringes |
|-------------------------|----------|---------|
| PLUMBER..... | \$ 35.77 | 20.78 |
| ----- | | |
| SUKY2010-160 10/08/2001 | | |

| | Rates | Fringes |
|----------------|----------|---------|
| Truck drivers: | | |
| GROUP 1..... | \$ 16.57 | 7.34 |
| GROUP 2..... | \$ 16.68 | 7.34 |
| GROUP 3..... | \$ 16.86 | 7.34 |
| GROUP 4..... | \$ 16.96 | 7.34 |

TRUCK DRIVER CLASSIFICATIONS

GROUP 1 - Mobile Batch Truck Tender

GROUP 2 - Greaser; Tire Changer; & Mechanic Tender

GROUP 3 - Single Axle Dump; Flatbed; Semi-trailer or Pole
 Trailer when used to pull building materials and equipment;
 Tandem Axle Dump; Distributor; Mixer; & Truck Mechanic

GROUP 4 - Euclid & Other Heavy Earthmoving Equipment &
 Lowboy; Articulator Cat; 5-Axle Vehicle; Winch & A-Frame
 when used in transporting materials; Ross Carrier; Forklift
 when used to transport building materials; & Pavement
 Breaker

WELDERS - Receive rate prescribed for craft performing
 operation to which welding is incidental.

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Executive Order (EO) 13706, Establishing Paid Sick Leave for Federal Contractors applies to all contracts subject to the Davis-Bacon Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2017. If this contract is covered by the EO, the contractor must provide employees with 1 hour of paid sick leave for every 30 hours they work, up to 56 hours of paid sick leave each year. Employees must be permitted to use paid sick leave for their own illness, injury or other health-related needs, including preventive care; to assist a family member (or person who is like family to the employee) who is ill, injured, or has other health-related needs, including preventive care; or for reasons resulting from, or to assist a family member (or person who is like family to the employee) who is a victim of, domestic violence, sexual assault, or stalking. Additional information on contractor requirements and worker protections under the EO is available at www.dol.gov/whd/govcontracts.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (ii)).

The body of each wage determination lists the classification and wage rates that have been found to be prevailing for the cited type(s) of construction in the area covered by the wage determination. The classifications are listed in alphabetical order of ""identifiers"" that indicate whether the particular rate is a union rate (current union negotiated rate for local), a survey rate (weighted average rate) or a union average rate (weighted union average rate).

Union Rate Identifiers

A four letter classification abbreviation identifier enclosed in dotted lines beginning with characters other than ""SU"" or ""UAVG"" denotes that the union classification and rate were

prevailing for that classification in the survey. Example:

PLUM0198-005 07/01/2014. PLUM is an abbreviation identifier of the union which prevailed in the survey for this classification, which in this example would be Plumbers. 0198 indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. 07/01/2014 is the effective date of the most current negotiated rate, which in this example is July 1, 2014.

Union prevailing wage rates are updated to reflect all rate changes in the collective bargaining agreement (CBA) governing this classification and rate.

Survey Rate Identifiers

Classifications listed under the ""SU"" identifier indicate that no one rate prevailed for this classification in the survey and the published rate is derived by computing a weighted average rate based on all the rates reported in the survey for that classification. As this weighted average rate includes all rates reported in the survey, it may include both union and non-union rates. Example: SULA2012-007 5/13/2014. SU indicates the rates are survey rates based on a weighted average calculation of rates and are not majority rates. LA indicates the State of Louisiana. 2012 is the year of survey on which these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. 5/13/2014 indicates the survey completion date for the classifications and rates under that identifier.

Survey wage rates are not updated and remain in effect until a new survey is conducted.

Union Average Rate Identifiers

Classification(s) listed under the UAVG identifier indicate that no single majority rate prevailed for those classifications; however, 100% of the data reported for the classifications was union data. EXAMPLE: UAVG-OH-0010

14. UAVG indicates that the rate is a weighted union average rate. OH indicates the state. The next number, 0010 in the example, is an internal number used in producing the wage determination. 08/29/2014 indicates the survey completion date for the classifications and rates under that identifier.

A UAVG rate will be updated once a year, usually in January of each year, to reflect a weighted average of the current negotiated/CBA rate of the union locals from which the rate is based.

WAGE DETERMINATION APPEALS PROCESS

1.) Has there been an initial decision in the matter? This can be:

- * an existing published wage determination
- * a survey underlying a wage determination
- * a Wage and Hour Division letter setting forth a position on a wage determination matter
- * a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations
Wage and Hour Division
U.S. Department of Labor

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

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END OF GENERAL DECISION"

Fringe benefit amounts are applicable for all hours worked except when otherwise noted.

No laborer, workman or mechanic shall be paid at a rate less than that of a Journeyman except those classified as bona fide apprentices.

Apprentices or trainees shall be permitted to work as such subject to Administrative Regulations adopted by the Commissioner of Workplace Standards. Copies of these regulations will be furnished upon request from any interested person.

Before using apprentices on the job the contractor shall present to the Contracting Officer written evidence of registration of such employees in a program of a State apprenticeship and training agency approved and recognized by the U. S. Bureau of Apprenticeship and Training. In the absence of such a State agency, the contractor shall submit evidence of approval and registration by the U. S. Bureau of Apprenticeship and Training.

The contractor shall submit to the Contracting Officer, written evidence of the established apprenticeship-journeyman ratios and wage rates in the project area, which will be the basis for establishing such ratios and rates for the project under the applicable contract provisions.

TO: EMPLOYERS/EMPLOYEES

PREVAILING WAGE SCHEDULE:

The wages indicated on this wage schedule are the least permitted to be paid for the occupations indicated. When an employee works in more than one classification, the employer must record the number of hours worked in each classification at the prescribed hourly base rate.

OVERTIME:

Overtime is to be paid to an employee at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty (40) hours in such workweek. Wage violations or questions should be directed to the designated Engineer or the undersigned.

Director
Division of Construction Procurement
Frankfort, Kentucky 40622
502-564-3500

**NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION
TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY
(Executive Order 11246)**

1. The Offeror's or Bidder's attention is called to the "Equal Opportunity Clause" and the "Standard Federal Equal Employment Specifications" set forth herein.
2. The goals and timetables for minority and female participation, expressed in percentage terms for the Contractor's aggregate work force in each trade on all construction work in the covered area, are as follows:

| GOALS FOR MINORITY PARTICIPATION IN EACH TRADE | GOALS FOR FEMALE PARTICIPATION IN EACH TRADE |
|---|---|
| 2.5% | 6.9% |

These goals are applicable to all the Contractor's construction work (whether or not it is Federal or federally-assisted) performed in the covered area. If the contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for such geographical area where the work is actually performed. With regard to this second area, the contractor also is subject to the goals for both its federally involved and non-federally involved construction.

The Contractor's compliance with the Executive Order and the regulations in CFR Part 60-4 shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the specifications set forth in 41 CFR 60-4, 3(a), and its efforts to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade, and the contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor or from project to project for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, the Executive Order and the regulations in 41 CFR Part 60-4. Compliance with the goals will be measured against the total work hours performed.

3. The Contractor shall provide written notification to the Director of the Office of Federal Contract Compliance Programs within ten (10) working days of award of any construction subcontract in excess of \$10,000.00 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the name, address and telephone number of the subcontractor; employer identification number of the subcontractor; estimated dollar amount of the subcontract; estimated starting and completion dates of the subcontract; and the geographical area in which the subcontract is to be performed. The notification shall be mailed to:

**Evelyn Teague, Regional Director
Office of Federal Contract Compliance Programs
61 Forsyth Street, SW, Suite 7B75
Atlanta, Georgia 30303-8609**

4. As used in this Notice, and in the contract resulting from this solicitation, the "**covered area**" is Rowan County.

PART IV
INSURANCE

Refer to
Kentucky Standard Specifications for Road and Bridge Construction,
current edition

PART V
BID ITEMS

PROPOSAL BID ITEMS

Report Date 11/8/19

Section: 0001 - GUARDRAIL

| LINE | BID CODE | ALT | DESCRIPTION | QUANTITY | UNIT | UNIT PRIC | FP | AMOUNT |
|------|----------|-----|--|-----------|------|-----------|----|--------|
| 0010 | 02562 | | TEMPORARY SIGNS | 500.00 | SQFT | | \$ | |
| 0020 | 02650 | | MAINTAIN & CONTROL TRAFFIC | 1.00 | LS | | \$ | |
| 0030 | 02671 | | PORTABLE CHANGEABLE MESSAGE SIGN | 2.00 | EACH | | \$ | |
| 0040 | 02726 | | STAKING | 1.00 | LS | | \$ | |
| 0050 | 02775 | | ARROW PANEL | 2.00 | EACH | | \$ | |
| 0060 | 06427 | | TRENCHING | 41,500.80 | LF | | \$ | |
| 0065 | 20411ED | | LAW ENFORCEMENT OFFICER (ADDED: 11-8-19) | 290.00 | HOUR | | \$ | |
| 0070 | 22415EN | | CONCRETE-CLASS A FOR PAD | 18,443.00 | SQYD | | \$ | |
| 0080 | 23143ED | | KPDES PERMIT AND TEMP EROSION CONTROL | 1.00 | LS | | \$ | |
| 0090 | 23147EN | | HIGH TENSION CABLE-ROPE BARRIER | 41,500.80 | LF | | \$ | |
| 0100 | 23148EN | | END ANCHORS | 14.00 | EACH | | \$ | |
| 0110 | 24560EN | | EROSION CONTROL BLANKET-SHORT TERM (REVISED: 11-8-19) | 36,889.00 | SQYD | | \$ | |

Section: 0002 - DEMOBILIZATION &/OR MOBILIZATION

| LINE | BID CODE | ALT | DESCRIPTION | QUANTITY | UNIT | UNIT PRIC | FP | AMOUNT |
|------|----------|-----|----------------|----------|------|-----------|----|--------|
| 0120 | 02569 | | DEMOBILIZATION | 1.00 | LS | | \$ | |